

# Constructing the extended Haagerup planar algebra

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**Abstract** We construct a subfactor planar algebra, and as a corollary a subfactor, with the ‘extended Haagerup’ principal graph pair. This is the last open case from Haagerup’s 1993 list of potential principal graphs of subfactors with index in the range  $(4, 3 + \sqrt{3})$ . We prove that the subfactor planar algebra with these principal graphs is unique. We give a skein theoretic description, and a description as a subalgebra generated by a certain element in the graph planar algebra of its principal graph. We give an explicit algorithm for evaluating closed diagrams using the skein theoretic description. This evaluation algorithm is unusual because intermediate steps may increase the number of generators in a diagram.

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## 1 Introduction

A subfactor is an inclusion  $N \subset M$  of von Neumann algebras with trivial center. The theory of subfactors can be thought of as a nonabelian version of Galois theory, and has had many applications in operator algebras, quantum algebra, and knot theory. For example, the construction of a new finite depth subfactor, as in this paper, also yields two new fusion categories (by taking the even parts) and a new 3-dimensional TQFT (via the Ocneanu-Turaev-Viro construction).

A subfactor  $N \subset M$  has three key invariants. From strongest to weakest, they are: the standard invariant (which captures all information about bimodules over  $M$  and  $N$ ), the principal and dual principal graphs (which together describe the fusion rules for these basic bimodules), and the index (which is a real number measuring the “size” of the basic bimodules). We will use the axiomatization of the standard invariant as a subfactor planar algebra, which is due to Jones [20]. Other axiomatizations include Ocneanu’s paragroups [35] and Popa’s  $\lambda$ -lattices [39]. (For readers more familiar with tensor categories, these three approaches are analogous to the diagram calculus [4, 41, 28], basic  $6j$  symbols [42, Chapter 5], and towers of endomorphism algebras [43], respectively.) In the case of finite index subfactors of the hyperfinite  $II_1$  factor, the subfactor can be reconstructed uniquely from its standard invariant [37].

The index of a subfactor  $N \subset M$  must lie in the set

$$\left\{ 4 \cos^2 \left( \frac{\pi}{n} \right) \mid n \geq 3 \right\} \cup [4, \infty],$$

and all numbers in this set can be realized as the index of a subfactor [21]. Early work on classifying subfactors of “small index” concentrated on the case of index less than 4. The principal graphs of these subfactors are exactly the Dynkin diagrams  $A_n$ ,  $D_{2n}$ ,  $E_6$  and  $E_8$ . Furthermore there is exactly one subfactor of the hyperfinite  $II_1$  factor with principal graph  $A_n$  or  $D_{2n}$  and there is exactly one pair of complex conjugate subfactors with principal graph  $E_6$  or  $E_8$ . (See [35] for the outline of this result, and [6, 17, 18, 30] for more details.) The story of the corresponding classification for index equal to 4 is outlined in [38, p. 231]. In this case, the principal graph must be an affine Dynkin diagram. For some principal graphs there are multiple non-conjugate subfactors with the same principal graph, which are distinguished by homological data.

The classification of subfactors of “small index” greater than 4 was initiated by Haagerup [14]. His main result is a list of all possible pairs of principal graphs of subfactors of index larger than 4 but smaller than  $3 + \sqrt{3}$ . Here we begin to see subfactors whose principal graph is different from its dual principal graph. If  $\Gamma$  refers to a pair of principal graphs and we need to refer to one individually, we will use the notation  $\Gamma^p$  and  $\Gamma^d$ . Haagerup’s list is as follows.

- $(A_\infty, A_\infty)$ , which occurs for every index greater than or equal to 4,
- the infinite family

$$\left\{ \mathcal{H}_n = \left( \begin{array}{c} \text{Diagram 1} \\ \text{Diagram 2} \end{array} \right) \right\}_{n \in \mathbb{N}},$$

Diagram 1: A horizontal path of  $4n+3$  edges starting with a star, branching into two paths of length  $n$  at the end.  
Diagram 2: A horizontal path of  $4n+3$  edges starting with a star, branching into two paths of length  $n$  at the end, with a different branching structure than Diagram 1.

which has  $\text{index}(\mathcal{H}_0) = \frac{5+\sqrt{13}}{2}$ ,  $\text{index}(\mathcal{H}_1)$  the largest root of  $x^3 - 8x^2 + 17x - 5$ , and  $\text{index}(\mathcal{H}_n)$  monotonically increasing with  $n$ , converging to the real root of  $x^3 - 6x^2 + 8x - 4$ , (thus  $\text{index}(\mathcal{H}_0) \approx 4.30278$ ,  $\text{index}(\mathcal{H}_1) \approx 4.37720$ , and  $\lim_n \text{index}(\mathcal{H}_n) \approx 4.38298$ ),

- the infinite family

$$\left\{ \mathcal{B}_n = \left( \begin{array}{c} \text{Diagram 1} \\ \text{Diagram 2} \end{array} \right) \right\}_{n \in \mathbb{N}},$$

Diagram 1: A horizontal path of  $6n+3$  edges starting with a star, branching into two paths of length  $n$  at the end, with a different branching structure than Diagram 2.  
Diagram 2: A horizontal path of  $6n+3$  edges starting with a star, branching into two paths of length  $n$  at the end.

which has  $\text{index}(\mathcal{B}_0) = \frac{7+\sqrt{5}}{2}$ , and  $\text{index}(\mathcal{B}_n)$  monotonically increasing in  $n$ , converging to the real root of  $x^3 - 8x^2 + 19x - 16$ , (thus  $\text{index}(\mathcal{B}_0) \approx 4.61803$ , and  $\lim_n \text{index}(\mathcal{B}_n) \approx 4.65897$ ),

- one more pair of graphs,

$$\mathcal{AH} = \left( \begin{array}{c} \text{Diagram 1} \\ \text{Diagram 2} \end{array} \right),$$

Diagram 1: A horizontal path of 17 edges starting with a star, branching into two paths of length 1 at the end.  
Diagram 2: A horizontal path of 17 edges starting with a star, branching into two paths of length 1 at the end, with a different branching structure than Diagram 1.

which has index  $\frac{5+\sqrt{17}}{2} \approx 4.56155$ .

Haagerup’s paper announces this result up to index  $3 + \sqrt{3} \approx 4.73205$ , but only proves it up to index  $3 + \sqrt{2} \approx 4.41421$ ; this includes all of the graphs  $\mathcal{H}_n$ , but none of the graphs  $\mathcal{B}_n$  or  $\mathcal{AH}$ . Haagerup’s proof of the full result has not yet appeared.

In work in progress, Jones, Morrison, Peters, and Snyder have independently confirmed his result (following Haagerup's outline except at one point using a result from [25]), and have extended his techniques to give a partial result up to index 5. In this paper, we will only rely on the part of Haagerup's classification that has appeared in print.

Haagerup's original result did not specify which of the possible principal graphs are actually realized. Considerable progress has since been made in this direction. Asaeda and Haagerup [2] proved the existence and uniqueness of a subfactor corresponding to  $\mathcal{H}_0$  (called the *Haagerup subfactor*), and a subfactor for  $\mathcal{AH}$  (called the *Asaeda-Haagerup subfactor*). Izumi [19] gave an alternate construction of the Haagerup subfactor. Bisch [8] showed the graphs  $\mathcal{B}_n$  give inconsistent fusion rules for all  $n$ , so those graphs cannot be principal graphs. Asaeda [1] and Asaeda-Yasuda [3] proved that the index of  $\mathcal{H}_n$  is not a cyclotomic integer for  $n \geq 2$ , so these cannot be principal graphs by a result of Etingof, Nikshych and Ostrik [12].

In summary, all the pairs of graphs on Haagerup's list have been either constructed or proven not to be pairs of principal graphs, except for  $\mathcal{H}_1$ . We call  $\mathcal{H}_1$  the *extended Haagerup* graph and dual graph. Computations by Ikeda [16] provide numerical evidence that there should be an extended Haagerup subfactor. In Theorem 3.10 we give an exact construction of a subfactor with  $\mathcal{H}_1$  as its principal graph. This completes the classification of all subfactors up to index  $3 + \sqrt{3}$ .

The search for small index subfactors has so far produced the three "sporadic" examples of the Haagerup, Asaeda-Haagerup and extended Haagerup subfactors. These are some of the very few known subfactors that do not seem to fit into the frameworks of groups, quantum groups, or conformal field theory [15]. (See also a generalization of the Haagerup subfactor due to Izumi [19, Example 7.2]). You might think of them as analogs of the exceptional simple Lie algebras, or of the sporadic finite simple groups. (Without a good extension theory, it is not yet clear what "simple" should mean in this context.)

In this paper, we study the extended Haagerup subfactor via its corresponding planar algebra. We construct the extended Haagerup planar algebra by locating it inside the graph planar algebra of its principal graph. By an unpublished result of Jones (Theorem 2.13 below), every subfactor planar algebra occurs in this way. We find the right planar subalgebra by following a recipe outlined by Jones [22, 25] and further developed by Peters [36], who applied it to the Haagerup planar algebra.

We also give a presentation of the extended Haagerup planar algebra using a single planar generator and explicit relations. We prove that the subalgebra of the graph planar algebra contains an element also satisfying these relations. This is convenient because different properties become more apparent in different descriptions of the planar algebra. For example, the subalgebra of the graph planar algebra is clearly non-trivial, which would be difficult to prove directly from the generators and relations. In the other direction, in §5 we prove that our relations result in a space of closed diagrams that is at most one dimensional, which would be difficult to prove in the graph planar algebra setting.

In §2 we recall the definitions of planar algebras and graph planar algebras. We also set some notation for the graph planar algebra of  $\mathcal{H}_1^p$ . In §3 we prove our two

main theorems, Theorems 3.9 and 3.10. Theorem 3.9, the uniqueness theorem, says that for each  $k$  there is at most one subfactor planar algebra with principal graphs  $\mathcal{H}_k$ . Furthermore we give a skein theoretic description by generators and relations of the unique candidate planar algebra. Theorem 3.10, the existence theorem, constructs a subfactor planar algebra with principal graphs  $\mathcal{H}_1$  by realizing the skein theoretic planar algebra as a subalgebra of the graph planar algebra. Proofs of several key results needed for the main existence and uniqueness arguments are deferred to §4, §5, and §6. In particular, §4 describes an evaluation algorithm that uses the skein theory to evaluate any closed diagram (Theorem 3.8). This is crucial to our proofs of both existence and uniqueness and may be of broader interest in quantum topology. This section can be read independently of the rest of the paper. Section 5 consists of calculations of inner products using generators and relations. Section 6 gives the description of the generator of our subfactor planar algebra inside the graph planar algebra and verifies its properties. Appendix A gives the tensor product rules for the two fusion categories associated to the extended Haagerup subfactor.

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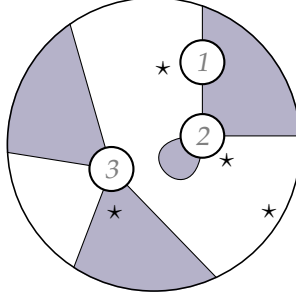
## 2 Background

Planar algebras were defined in [20] and [22]. More general definitions have since appeared elsewhere, but we only need the original notion of a *shaded planar algebra*, which we sketch here. For further details see [22, §2], [20, §0], or [9].

**Definition 2.1** *A (shaded) planar tangle has an outer disk, a finite number of inner disks, and a finite number of non-intersecting strings. A string can be either a closed loop or an edge with endpoints on boundary circles. We require that there be an even number of endpoints on each boundary circle, and a checkerboard shading of the regions in the complement of the interior disks. We further require that there be a marked point on the boundary of each disk, and that the inner disks are ordered.*

*Two planar tangles are considered equal if they are isotopic (not necessarily rel boundary).*

Here is an example of a planar tangle.



Planar tangles can be composed by placing one planar tangle inside an interior disk of another, lining up the marked points, and connecting endpoints of strands. The numbers of endpoints and the shadings must match up appropriately. This composition turns the collection of planar tangles into a colored operad.

**Definition 2.2** A (shaded) planar algebra consists of

- A family of vector spaces  $\{V_{(n,\pm)}\}_{n \in \mathbb{N}}$ , called the positive and negative  $n$ -box spaces.
- For each planar tangle, a multilinear map  $V_{n_1,\pm_1} \otimes \dots \otimes V_{n_k,\pm_k} \rightarrow V_{n_0,\pm_0}$  where  $n_i$  is half the number of endpoints on the  $i$ th interior boundary circle,  $n_0$  is half the number of endpoints on the outer boundary circle, and the signs  $\pm$  are positive (respectively negative) when the marked point on the corresponding boundary circle is in an unshaded region (respectively shaded region).

For example, the planar tangle above gives a map

$$V_{1,+} \otimes V_{2,+} \otimes V_{2,-} \rightarrow V_{3,+}.$$

The linear map associated to a ‘radial’ tangle (with one inner disc, radial strings, and matching marked points) must be the identity. We require that the action of planar tangles be compatible with composition of planar tangles. In other words, composition of planar tangles must correspond to the obvious composition of multilinear maps. In operadic language this says that a planar algebra is an algebra over the operad of planar tangles.

We will refer to an element of  $V_{n,\pm}$  (and specifically  $V_{n,+}$ , unless otherwise stated) as an “ $n$ -box.”

We make frequent use of three families of planar tangles called multiplication, trace, and tensor product, which are shown in Figure 1. “Multiplication” gives an associative product  $V_{n,\pm} \otimes V_{n,\pm} \rightarrow V_{n,\pm}$ . “Trace” gives a map  $V_{n,\pm} \rightarrow V_{0,\pm}$ . “Tensor product” gives an associative product  $V_{m,\pm} \otimes V_{n,\pm} \rightarrow V_{m+n,\pm}$  if  $m$  is even, or  $V_{m,\pm} \otimes V_{n,\mp} \rightarrow V_{m+n,\pm}$  if  $m$  is odd.

The (shaded or unshaded) empty diagrams can be thought of as elements of  $V_{0,\pm}$ , since the ‘empty tangle’ induces a map from the empty tensor product  $\mathbb{C}$  to the space  $V_{0,\pm}$ . If the space  $V_{0,\pm}$  is one dimensional then we can identify it with  $\mathbb{C}$  by sending the empty diagram to one. In many other cases, we can make do with the following.

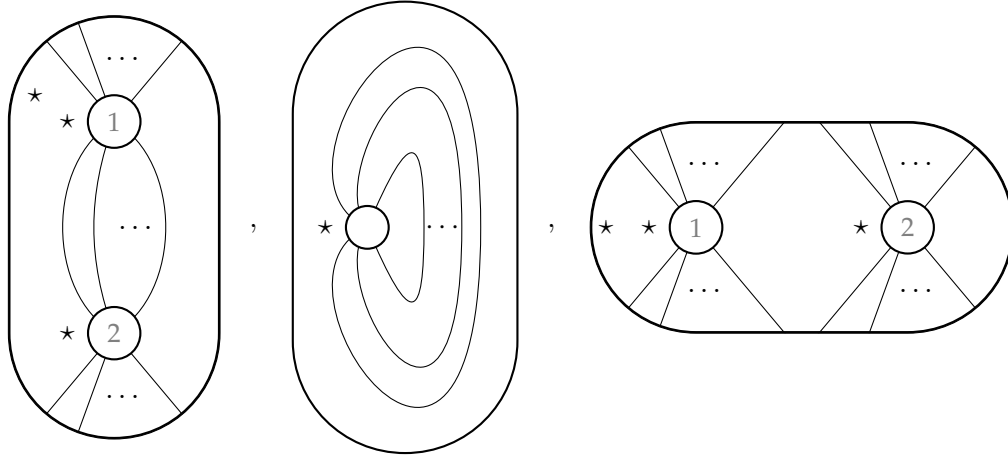


Figure 1: The multiplication, trace, and tensor product tangles.

**Definition 2.3** A partition function is a pair of linear maps

$$Z_{\pm} : V_{0,\pm} \rightarrow \mathbb{C}$$

that send the empty diagrams to 1.

In a planar algebra with a partition function, let

$$\text{tr} : V_{n,\pm} \rightarrow \mathbb{C}$$

denote the composition of the trace tangle with  $Z$ .

Sometimes we will need to refer simply to the action of the trace tangle, which we denote  $\text{tr}_0 : V_{n,\pm} \rightarrow V_{0,\pm}$ .

Notice that the above trace tangle is the “right trace.” There is also a “left trace” where all the strands are connected around the left side.

**Definition 2.4** A planar algebra with a partition function can be:

- Positive definite: There is an antilinear adjoint operation  $*$  on each  $V_{n,\pm}$ , compatible with the adjoint operation on planar tangles given by reflection. The sesquilinear form  $\langle x, y \rangle = \text{tr}(xy^*)$  is positive definite.
- Spherical: The left trace

$$\text{tr}_l : V_{1,\pm} \rightarrow V_{0,\mp} \xrightarrow{Z_{\mp}} \mathbb{C}$$

and the right trace

$$\text{tr}_r : V_{1,\pm} \rightarrow V_{0,\pm} \xrightarrow{Z_{\pm}} \mathbb{C}$$

are equal.

The spherical property implies that the left and right traces are equal on every  $V_{n,\pm}$ . Since every planar algebra we consider is spherical, we will usually ignore the distinction between left and right trace.

**Definition 2.5** A subfactor planar algebra is a positive definite spherical planar algebra such that  $\dim V_{0,+} = \dim V_{0,-} = 1$  and  $\dim V_{n,\pm} < \infty$ .

As a consequence of being spherical and having 1-dimensional 0-box spaces, subfactor planar algebras always have a well-defined modulus, as described below.

**Definition 2.6** We say that the planar algebra has modulus  $d$  if the following relations hold.

$$\text{Diagram 1} = d \cdot \text{Diagram 2}, \quad \text{Diagram 3} = d \cdot \text{Diagram 4}.$$

The diagrams are: Diagram 1 is a circle with a smaller shaded circle inside. Diagram 2 is an empty circle. Diagram 3 is a circle with a smaller unshaded circle inside. Diagram 4 is a shaded circle.

The *principal graphs* of a subfactor encode the fusion rules for the basic bimodules  ${}_N M_M$  and  ${}_M M_N$ . The vertices of the principal graph are the isomorphism classes of simple  $N$ - $N$  and  $N$ - $M$  bimodules that occur in tensor products of the basic bimodules. The edges give the decompositions of tensor products of simple bimodules with the basic bimodule. The dual principal graph encodes similar information, but for  $M$ - $N$  and  $M$ - $M$  bimodules. In the language of planar algebras, the basic bimodule is a single strand, and the isomorphism classes of simple bimodules are equivalence classes of irreducible projections in the box spaces. For a more detailed description, see [34, §4.1].

**Definition 2.7** A subfactor planar algebra has finite depth if it has finitely many isomorphism classes of irreducible projections, that is, finitely many vertices in the principal graphs.

A subfactor planar algebra  $\mathcal{P}$  is irreducible if  $\dim \mathcal{P}_{1,+} = 1$ .

**Theorem 2.8** Finite depth finite index subfactors of the hyperfinite  $II_1$  factor are in one-to-one correspondence with finite depth subfactor planar algebras. Irreducible subfactors (those for which  $M$  is irreducible as an  $N$ - $M$  bimodule) correspond to irreducible subfactor planar algebras.

**Proof** Suppose we are given a subfactor  $N \subset M$ . The corresponding planar algebra is constructed as follows. Let  $\mathcal{C}$  be the 2-category of all bimodules that appear in the decomposition of some tensor product of alternating copies of  $M$  as a  $N$ - $M$  bimodule and  $M$  as a  $M$ - $M$  bimodule. These are  $A$ - $B$  bimodules for  $A, B \in \{M, N\}$ , and form the 1-morphisms of  $\mathcal{C}$ . Composition of 1-morphisms is given by tensor product. The 2-morphisms of  $\mathcal{C}$  are the intertwiners.

We can define duals in  $\mathcal{C}$  by taking the contragradient bimodule, which interchanges  $M$  as an  $N$ - $M$  bimodule with  $M$  as an  $M$ - $N$  bimodule. Now define the associated planar algebra by

$$\mathcal{P}_{n,\pm} = \text{End}_{\mathcal{C}} \left( \widehat{\bigotimes_n M^{\pm}} \right).$$

Here  $M^{\pm}$  means  $M$  or  $M^*$ , and  $\widehat{\bigotimes_n M}$  means  $M \otimes M^* \otimes M \otimes \dots \otimes M^{\pm}$ . The action of tangles is via the usual interpretation of string diagrams as 2-morphisms in a 2-category [28], with critical points interpreted as evaluation and coevaluation maps.

The difficult direction is to recover a subfactor from a planar algebra. The proof of this result was given in [37]. However in that paper, Popa uses towers of commutants instead of tensor products of bimodules, and  $\lambda$ -lattices instead of planar algebras. See [20] to translate from  $\lambda$ -lattices into planar algebras. See [7] and [26] to translate from towers of commutants into tensor products of bimodules.  $\square$

*Remark.* The above theorem says that a certain kind of subfactor is completely characterized by its representation theory (that is, the bimodules). This can be thought of as a subfactor version of the Dopplcher-Roberts theorem [11], or more generally, of Tannaka-Krein type theorems [29].

In general, given any extremal finite index subfactor of a  $II_1$  factor, the standard invariant is a subfactor planar algebra. Several other reconstruction results have been proved. Popa extended his results on finite depth subfactors to strongly amenable subfactors of the hyperfinite  $II_1$  factor in [38]. The general situation for non-amenable subfactors of the hyperfinite  $II_1$  factor is more complicated: some subfactor planar algebras cannot be realized at all (an unpublished result of Popa's, see [23]), while others can be realized by a continuous family of different subfactors [10]. Recently there have been a series of results which prove that an arbitrary subfactor planar algebra comes from a (canonically constructed, but not necessarily unique) subfactor of the free group factor  $L(F_\infty)$  [40, 27, 31, 13].

## 2.1 Temperley-Lieb

Everyone's favorite example of a planar algebra is Temperley-Lieb. The vector space  $TL_{n,\pm}$  is spanned by non-crossing pairings of  $2n$  points (where the  $\pm$  depends on whether the marked point is in a shaded region or unshaded region). These pairings are drawn as intervals in a disc, starting from a marked point on the boundary. For example,

$$TL_{3,+} = \text{span} \left\{ \begin{array}{c} \text{Diagram 1} \\ \text{Diagram 2} \\ \text{Diagram 3} \\ \text{Diagram 4} \\ \text{Diagram 5} \end{array} \right\}.$$

Planar tangles act on Temperley-Lieb elements “diagrammatically:” the inputs are inserted into the inner disk, strings are smoothed out, and each loop is discarded in exchange for a factor of  $d \in \mathbb{C}$ . For example,

$$\begin{array}{c} \text{Diagram 1} \end{array} \left( \begin{array}{c} \text{Diagram 2} \end{array} \right) = \begin{array}{c} \text{Diagram 3} \end{array} = d^2 \begin{array}{c} \text{Diagram 4} \end{array}.$$

If  $d \in \mathbb{R}$  we can introduce an antilinear involution  $*$  by reflecting diagrams. If  $d \geq 2$  then Temperley-Lieb is a subfactor planar algebra. If  $d = 2 \cos \frac{\pi}{n}$  for  $n = 3, 4, 5, \dots$  then we can take a certain quotient to obtain a subfactor planar algebra. The irreducible projections in the Temperley-Lieb planar algebra are the Jones-Wenzl idempotents.



**Definition 2.9** The Jones-Wenzl idempotent  $f^{(n)} \in TL_{n,\pm}$  is characterized by

$$\begin{aligned} f^{(n)} &\neq 0 \\ f^{(n)} f^{(n)} &= f^{(n)} \\ e_i f^{(n)} = f^{(n)} e_i &= 0 \quad \text{for } i = 1, 2, \dots, n-1 \end{aligned}$$

where  $e_1, \dots, e_{n-1}$  are the Jones projections

$$e_1 = \frac{1}{d} \begin{array}{c} \smile \\ \cap \end{array} | \cdots |, \quad e_2 = \frac{1}{d} | \begin{array}{c} \smile \\ \cap \end{array} | \cdots |, \quad \dots, \quad e_{n-1} = \frac{1}{d} | \cdots | \begin{array}{c} \smile \\ \cap \end{array}.$$

The following gives a recursive definition of the Jones-Wenzl idempotents. We should mention that the following pictures are drawn using rectangles instead of disks, and the marked points are assumed to be on the left side of the rectangles (including the implicit bounding rectangles).

**Lemma 2.10** (e.g. [33])

$$\begin{array}{c} \text{Diagram of } f^{(k)} \end{array} = \begin{array}{c} \text{Diagram of } f^{(k-1)} \end{array} + \frac{1}{[k]} \sum_{a=1}^{k-1} (-1)^{a+k+1} [a] \begin{array}{c} \text{Diagram of } f^{(k-1)} \text{ with a cup on } a \text{ strands} \end{array}.$$

**Proof** It is reasonably straightforward to see that the right hand side of this equation satisfies the characterizing relations of Definition 2.9.  $\square$

We will also use the following more symmetrical version of the lemma.

**Lemma 2.11**

$$\begin{array}{c} \text{Diagram of } f^{(k)} \end{array} = \begin{array}{c} \text{Diagram of } f^{(k-1)} \end{array} + \frac{1}{[k][k-1]} \sum_{a,b=1}^{k-1} (-1)^{a+b+1} [a][b] \begin{array}{c} \text{Diagram of } f^{(k-2)} \text{ with a cup on } a \text{ strands and a cap on } b \text{ strands} \end{array}.$$

**Proof** First apply Lemma 2.10, and then apply the vertically reflected version of that lemma to each term with a cup in the summation.  $\square$

We sometimes consider the complete expansion of a Jones-Wenzl idempotent into a linear combination of Temperley-Lieb diagrams. Suppose  $\beta$  is a  $k$ -strand Temperley-Lieb diagram. Let  $\text{Coeff}_{f^{(k)}}(\beta)$  denote the coefficient of  $\beta$  in the expansion of  $f^{(k)}$ . Thus

$$f^{(k)} = \sum \text{Coeff}_{f^{(k)}}(\beta) \beta,$$

where the sum is over all  $k$ -strand Temperley-Lieb diagrams  $\beta$ .

We will frequently state values of  $\text{Coeff}_{f^{(k)}}(\beta)$  without giving the details of how they are computed. An algorithm is given in [33], and there is a helpful example at the end of §4 of that paper.

## 2.2 The graph planar algebra

In this section we define the *graph planar algebra*  $GPA(G)$  of a bipartite graph  $G$  with a chosen base point and recall some of its basic properties. Except in degenerate cases, this fails to be a subfactor planar algebra because  $\dim GPA(G)_{0,+}$  and  $\dim GPA(G)_{0,-}$  are greater than 1. However, after specifying a certain partition function, all the other axioms for a subfactor planar algebra hold.

The box space  $GPA(G)_{n,\pm}$  is the space of functionals on the set of loops on  $G$  that have length  $2n$  and are based at an even vertex in the case of  $GPA(G)_{n,+}$ , or an odd vertex in the case of  $GPA(G)_{n,-}$ .

Suppose  $T$  is a planar tangle with  $k$  inner disks, and  $f_1, \dots, f_k$  are functionals in the appropriate spaces  $GPA(G)_{n_i, \pm_i}$ . Then we will define  $T(f_1, \dots, f_k)$  as a certain “weighted state sum.”

A *state* on  $T$  is an assignment of vertices of  $G$  to regions of  $T$  and edges of  $G$  to strings of  $T$ , such that unshaded regions are assigned even vertices, shaded regions are assigned odd vertices, and the edge assigned to the string between two regions goes between the vertices assigned to those regions. In particular, a state for any graph is uniquely specified by giving only the assignment of edges, and a state for a simply laced graph is specified by giving only the assignment of vertices. Since all the graphs we consider are simply laced, we typically specify states by giving the assignment of vertices. The inner boundaries  $\partial_i(\sigma)$  and outer boundary  $\partial_0(\sigma)$  of a state are the loops obtained by reading the edges assigned to strings clockwise around the corresponding disk.

We define  $T(f_1, \dots, f_k)$  by describing its value on a loop  $\ell$ . This is given by the following weighted state sum.

$$T(f_1, \dots, f_k)(\ell) = \sum_{\sigma} c(T, \sigma) \cdot \prod_{i=1, \dots, k} f_i(\partial_i(\sigma)).$$

Here, the sum is over all states  $\sigma$  on  $G$  such that  $\partial_0(\sigma) = \ell$ , and the weight  $c(T, \sigma)$  is defined below.

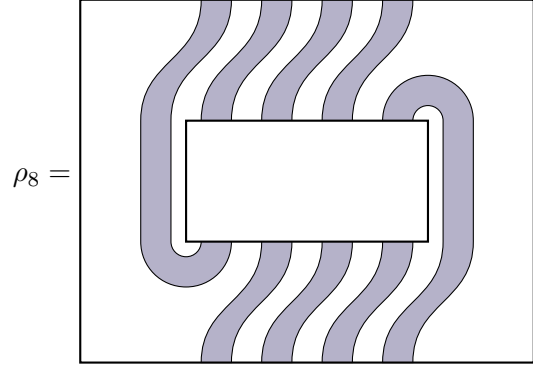
To specify the weight  $c(T, \sigma)$ , it helps to draw  $T$  in a certain standard form. Each disk is drawn as a rectangle, with the same number of strands meeting the top and bottom edges, no strands meeting the side edges, and the starred region on the left side. Then

$$c(T, \sigma) = \prod_{t \in E(T)} \sqrt{\frac{d_{\sigma(t_{\text{convex}})}}{d_{\sigma(t_{\text{concave}})}}},$$

where  $E(T)$  is the set of local maxima and minima of the strings of  $T$ ,  $d_v$  is the Perron-Frobenius dimension of the vertex  $v$ , and  $t_{\text{convex}}$  and  $t_{\text{concave}}$  are respectively the regions on the convex and concave sides of  $t$ . The Perron-Frobenius dimension of a vertex is the corresponding entry in the Perron-Frobenius eigenvector of the adjacency matrix. This is the largest-eigenvalue eigenvector, normalized so the Perron-Frobenius dimension of the base point is 1, and its entries are strictly positive.

It is now easy to check that this planar algebra has modulus  $d$ , the Perron-Frobenius dimension of  $G$ .

**Example 1** Fix  $G$ , a simply laced graph. Consider



the “two-click” rotation on 8-boxes, already drawn in standard form, and a loop  $\gamma = \gamma_1 \gamma_2 \dots \gamma_{16} \gamma_1$ . Then

$\rho(f)(\gamma) =$

$= \sqrt{\frac{d_{\gamma_3} d_{\gamma_{11}}}{d_{\gamma_1} d_{\gamma_9}}} f(\gamma_3 \dots \gamma_{16} \gamma_1 \gamma_2 \gamma_3).$

It is a general fact about the Perron-Frobenius dimensions of bipartite graphs that  $\sum_{\text{even vertices } v} d_v^2 = \sum_{\text{odd vertices } v} d_v^2$ . Call this number  $\mathcal{I}$ , the *global index*. We use the partition function

$$Z : GPA_{0,\pm} \rightarrow \mathbb{C}$$

$$f \mapsto \sum_v f(v) \frac{d_v^2}{\mathcal{I}}$$

and the involution  $*$  given by reversing loops:

$$f^*(\gamma_1 \gamma_2 \gamma_3 \dots \gamma_n \gamma_1) := f(\gamma_1 \gamma_n \dots \gamma_3 \gamma_2 \gamma_1).$$

**Proposition 2.12** For any bipartite graph  $G$  with base point the planar algebra with partition function and involution  $(GPA(G), Z, *)$  is a spherical positive definite planar algebra whose modulus is the Perron-Frobenius eigenvalue for  $G$ .

**Proof** This is due to [22], but we recall the easy details here. The inner product is positive definite, because the basis of Kronecker-delta functionals on loops  $\{\delta_\gamma\}_{\gamma \in \Gamma_{2k}}$  is an orthogonal basis and  $\langle \delta_\gamma, \delta_\gamma \rangle = \frac{d_{\gamma_1} d_{\gamma_{k+1}}}{\mathcal{I}} > 0$ .

Sphericity is a straightforward computation:

$$\begin{aligned} \mathrm{tr}_l(X) &= Z \left( \left( \text{Diagram: a circle labeled } X \text{ inside a purple rectangle with a white oval cutout} \right) \right) = Z \left( \sum_{\substack{\text{edges } e \text{ from even} \\ \text{to odd vertices}}} X(e) \frac{d_{s(e)}}{d_{t(e)}} \cdot \delta_{t(e)} \right) \\ &= \sum_{\substack{\text{edges } e \text{ from even} \\ \text{to odd vertices}}} X(e) d_{s(e)} \frac{d_{t(e)}}{\mathcal{I}} \end{aligned}$$

and

$$\begin{aligned} \mathrm{tr}_r(X) &= Z \left( \left( \text{Diagram: a circle labeled } X \text{ inside a purple rectangle with a white oval cutout} \right) \right) = Z \left( \sum_{\substack{\text{edges } e \text{ from even} \\ \text{to odd vertices}}} X(e) \frac{d_{t(e)}}{d_{s(e)}} \cdot \delta_{s(e)} \right) \\ &= \sum_{\substack{\text{edges } e \text{ from even} \\ \text{to odd vertices}}} X(e) d_{t(e)} \frac{d_{s(e)}}{\mathcal{I}}, \end{aligned}$$

where  $s(e)$  and  $t(e)$  are respectively the even and odd vertices of the edge  $e$ .  $\square$

The main reason for interest in graph planar algebras is the following result.

**Theorem 2.13** (Jones, unpublished) *Given a finite depth subfactor planar algebra  $\mathcal{P}$  with principal graph  $\Gamma$  there is an injective map of planar algebras*

$$\mathcal{P} \hookrightarrow GPA(\Gamma).$$

Note that while this theorem motivated our work here, you needn't worry about its unpublished status. It assures us that if we believe in the existence of the extended Haagerup subfactor, we will with sufficient perseverance inevitably find it as a subalgebra of the graph planar algebra, and indeed this paper is the result of that perseverance. On the other hand, nothing in this paper logically depends on the result.

### 2.3 Notation for $\mathcal{H}_k$

Let  $d_k$  be the Perron-Frobenius dimension of the graphs  $\mathcal{H}_k$ . For the Haagerup subfactor, we have  $d_0 = \sqrt{\frac{5+\sqrt{13}}{2}} \approx 2.07431$ . For the extended Haagerup subfactor,  $d_1$  is the largest root of the polynomial  $x^6 - 8x^4 + 17x^2 - 5$ ,

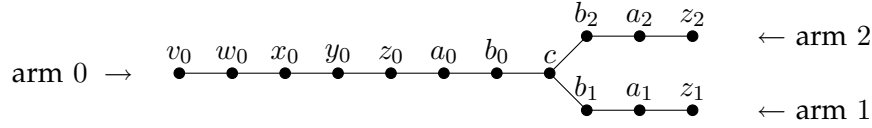
$$d_1 = \sqrt{\frac{8}{3} + \frac{1}{3} \sqrt[3]{\frac{13}{2} (-5 - 3i\sqrt{3})} + \frac{1}{3} \sqrt[3]{\frac{13}{2} (-5 + 3i\sqrt{3})}},$$

approximately 2.09218.

Throughout, if  $d$  is the modulus of a planar algebra, we let  $q$  be a solution to  $q + q^{-1} = d$ , and use the quantum integers

$$[n] = \frac{q^n - q^{-n}}{q - q^{-1}}.$$

By  $\mathcal{H}_k^p$  we mean the first graph in the pair of principal graphs  $\mathcal{H}_k$ . When we talk about loops or paths on  $\mathcal{H}_1^p$  it is useful to have names for the vertices and arms.



**Lemma 2.14** *If  $[2] = d_k$ , then  $[3][4k + 4] = [4k + 8]$ .*

**Proof** The dimensions of the three vertices on an arm of  $\mathcal{H}_k^p$ , counting from the branch, are

$$\begin{aligned} \dim b_1 &= \frac{[4k + 5]}{2}, \\ \dim a_1 &= \frac{[4k + 6] - [4k + 4]}{2}, \end{aligned}$$

and

$$\dim z_1 = \frac{[4k + 7] - [4k + 5] - [4k + 3]}{2}.$$

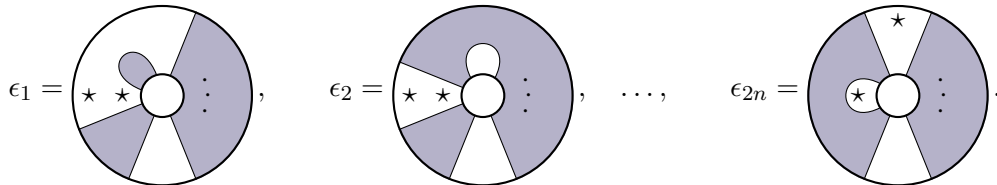
The condition  $[2] \dim z_1 = \dim a_1$  easily gives the desired formula.  $\square$

## 3 Uniqueness and existence

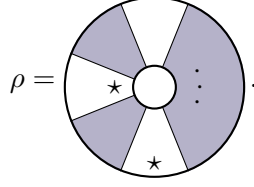
### 3.1 Uniqueness

The goal of this section is to prove that there is at most one subfactor planar algebra with principal graphs  $\mathcal{H}_k$ . To prove this, we will give a skein theoretic description of a planar algebra  $\mathcal{Q}^k/\mathcal{N}$  (which is not necessarily a subfactor planar algebra). We then prove in Theorem 3.9 that any subfactor planar algebra with principal graphs  $\mathcal{H}_k$  must be isomorphic to  $\mathcal{Q}^k/\mathcal{N}$ .

**Definition 3.1** *We say that a  $n$ -box  $S$  is uncappable if  $\epsilon_i(S) = 0$  for all  $i = 1, \dots, 2n$  where*



We say  $S$  is a rotational eigenvector with eigenvalue  $\omega$  if  $\rho(S) = \omega S$  where



Note that  $\omega$  must be a  $n^{\text{th}}$  root of unity.

As described in [24], every subfactor planar algebra is generated by uncappable rotational eigenvectors.

**Definition 3.2** If  $S$  is an  $n$ -box, we use the following names and numbers for relations on  $S$ :

- (1)  $\rho(S) = -S$ ,
- (2)  $S$  is uncappable,
- (3)  $S^2 = f^{(n)}$ ,
- (4) **one-strand braiding substitute:**

- (5) **two-strand braiding substitute:**

We call relations (4) and (5) “braiding substitutes” because we think of them as allowing us to move a generator “through” strands, rather like an identity

(3.1)

in a braided tensor category. The planar algebras we consider in this paper are not braided, and do not satisfy the Equation (3.1). Nevertheless, we found it useful to look for relations that could play a similar role. In particular, the evaluation algorithm described in §4 was inspired by the evaluation algorithms in [34] and [5] for planar algebras of types  $D_{2n}$ ,  $E_6$ , and  $E_8$ , where Equation (3.1) holds.

**Definition 3.3** Let  $\mathcal{Q}^k$  be the spherical planar algebra of modulus  $[2] = d_k$ , generated by a  $(4k + 4)$ -box  $S$ , subject to relations (1)-(5) above.

**Definition 3.4** A negligible element of a spherical planar algebra  $\mathcal{P}$  is an element  $x \in \mathcal{P}_{n,\pm}$  such that the diagrammatic trace  $\text{tr}_0(xy)$  is zero for all  $y \in \mathcal{P}_{n,\pm}$ .

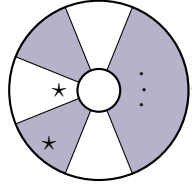
The set  $\mathcal{N}$  of all negligible elements of a planar algebra  $\mathcal{P}$  forms a planar ideal of  $\mathcal{P}$ . In the presence of an antilinear involution  $*$ , we can replace  $\text{tr}_0(xy)$  in the definition with  $\text{tr}_0(xy^*)$  without changing the ideal. If the planar algebra is positive definite, then  $\mathcal{N} = 0$ . The following is well known.

**Proposition 3.5** Suppose  $\mathcal{P}$  is a spherical planar algebra with non-zero modulus and  $\mathcal{N}$  is the ideal of negligible morphisms. If the spaces  $\mathcal{P}_{0,\pm}$  are one-dimensional then every non-trivial planar ideal is contained in  $\mathcal{N}$ .

**Proof** Suppose that a planar ideal  $\mathcal{I}$  contains a non-negligible element  $x$  and without loss of generality assume  $x \in \mathcal{P}_{n,+}$ . Then there is some element  $y \in \mathcal{P}_{n,+}$  so  $\text{tr}_0(xy) \neq 0 \in \mathcal{P}_{0,+}$ . The element  $\text{tr}_0(xy)$  is itself in the planar ideal, so since  $\mathcal{P}_{0,+}$  is one-dimensional, it must be entirely contained in  $\mathcal{I}$ , and so the unshaded empty diagram is in the ideal. Drawing a circle around this empty diagram, and using the fact that the modulus is non-zero, shows that the shaded empty diagram is also in the ideal. Now, every box space  $\mathcal{P}_{m,\pm}$  is a module over  $\mathcal{P}_{0,\pm}$  under tensor product, with the empty diagram acting by the identity. Thus  $\mathcal{P}_{m,\pm} \subset \mathcal{I}$  for all  $m \in \mathbb{N}$ .  $\square$

The sesquilinear pairing descends to  $\mathcal{Q}^k/\mathcal{N}$  and is then nondegenerate.

Let  $\rho^{1/2}$  denote the “one-click” rotation from  $\mathcal{P}_{n,+}$  to  $\mathcal{P}_{n,-}$  given by



**Definition 3.6** Let the Haagerup moments be as follows:

- $\text{tr}(S^2) = [n + 1]$ ,
- $\text{tr}(S^3) = 0$ ,
- $\text{tr}(S^4) = [n + 1]$ ,
- $\text{tr}(\rho^{1/2}(S)^3) = i \frac{[2n+2]}{\sqrt{[n][n+2]}}$ .

**Proposition 3.7** Suppose  $\mathcal{P}$  is a positive definite spherical planar algebra with modulus  $d_k$ , and  $S \in \mathcal{P}_{n,+}$ , where  $n = 4k + 4$ . If  $S$  is uncappable and satisfies  $\rho(S) = -S$  and the Haagerup moments given in Definition 3.6 then  $S$  satisfies the five relations given in Definition 3.2.

We defer the proof until §5.

**Theorem 3.8** *If  $\mathcal{P}$  is a planar algebra that is singly generated by an  $n$ -box  $S$  satisfying the five relations of Definition 3.2 then any closed diagram in  $\mathcal{P}_{0,+}$  is a multiple of the empty diagram.*

The proof of Theorem 3.8 is given in §4.

**Theorem 3.9** *If there exists a subfactor planar algebra  $\mathcal{P}$  with principal graphs  $\mathcal{H}_k$  then  $\mathcal{P}$  is isomorphic to  $\mathcal{Q}^k/\mathcal{N}$ .*

**Proof** The principal and dual principal graphs of  $\mathcal{P}$  each have their first trivalent vertex at depth  $4k+3$ . In the language of [25],  $\mathcal{P}$  has  $n$ -excess one, where  $n = 4k+4$ . We follow [25, Section 4.3]. There exists  $S \in \mathcal{P}_{n,+}$  such that  $\langle S, TL_{n,+} \rangle = 0$ , so

$$\mathcal{P}_{n,+} = TL_{n,+} \oplus \mathbb{C}S.$$

Let  $r$  be the ratio of dimensions of the two vertices at depth  $4k+4$  on the principal graph, chosen so that  $r \geq 1$ . By [25, Section 4.3], we can choose  $S$  to be self-adjoint, uncappable, and a rotational eigenvector, such that

$$S^2 = (1-r)S + rf^{(n)}.$$

(There is currently a misprint in that equation in [25], but the method of writing  $S$  in terms of irreducible projections is correct.)

The symmetry of  $\mathcal{H}_k^p$  implies that  $r = 1$ , so  $S^2 = f^{(n)}$ . We can use this to compute powers of  $S$  and their traces. These agree with the first three Haagerup moments, as given in Definition 3.6.

Let  $\tilde{r} \geq 1$  be the ratio of dimensions of the two vertices at depth  $4k+4$  on the dual principal graph. By [25, Equation 4.3.13],

$$\mathrm{tr}(\rho^{1/2}(S)^3) = \omega^{3/2} \sqrt{\frac{r}{\tilde{r}}} r(\tilde{r}-1)[n+1],$$

for some square root  $\omega^{1/2}$  of the rotational eigenvalue of  $S$ .

By [25, Theorem 6.1.2], whenever  $\mathcal{P}$  has  $n$ -excess one then  $\tilde{r} = \frac{[n+2]}{[n]}$  and

$$r + \frac{1}{r} = 2 + \frac{2 + \omega + \omega^{-1}}{[n][n+2]}.$$

Since  $r = 1$ , this implies that  $\omega = -1$ . Note that we could also compute  $\tilde{r}$  directly from the dual principal graph. Jones's proof that  $\omega = -1$  uses the converse of a result along the lines of Lemma 5.13, since there must be some linear relation of the form given in that Lemma.

In the case  $r = 1$  we also have the freedom to replace  $S$  with  $-S$ , which we use to (arbitrarily) choose the square root  $\omega^{1/2} = -i$ . Using the identity  $[n+1]([n+2] - [n]) = [2n+2]$ , we now see that  $S$  has all of the Haagerup moments, as given in Definition 3.6.

By Proposition 3.7,  $S$  satisfies the relations given in Definition 3.2. Thus there is a planar algebra morphism  $\mathcal{Q}^k \rightarrow \mathcal{P}$  given by sending  $S$  to  $S$ . Since  $\mathcal{P}$  is positive definite, this descends to the quotient to give a map

$$\Phi: \mathcal{Q}^k/\mathcal{N} \rightarrow \mathcal{P}.$$



By Proposition 3.5,  $\mathcal{Q}^k/\mathcal{N}$  has no nontrivial proper ideals. Since  $\Phi$  is non-zero, it must be injective. The image of  $\Phi$  is the planar algebra in  $\mathcal{P}$  generated by  $S$ . This is a subfactor planar algebra with the same modulus as  $\mathcal{P}$ . Its principal graphs are not  $(A_\infty, A_\infty)$  because the dimension of the  $n$ -box space is too large. Haagerup's classification shows that the principal graphs of the image of  $\Phi$  must be  $\mathcal{H}_k$ . However, since the principal graphs determine the dimensions of all box spaces, the image of  $\Phi$  must be all of  $\mathcal{P}$ . Thus  $\Phi$  is an isomorphism of planar algebras.  $\square$

### 3.2 Existence

The subfactor planar algebra with principal graphs  $\mathcal{H}_0$  is called the Haagerup planar algebra, and is isomorphic to  $\mathcal{Q}^0/\mathcal{N}$ . The corresponding subfactor was constructed in [2] and [19]. The subfactor planar algebra was directly constructed in [36]. There is no subfactor planar algebra with principal graphs  $\mathcal{H}_k$  for  $k > 1$ . In this case, by [3],  $\mathcal{Q}^k/\mathcal{N}$  cannot be a finite depth planar algebra, let alone a subfactor planar algebra. The following theorem deals with the one remaining case.

**Theorem 3.10** *There is a subfactor planar algebra with principal graphs  $\mathcal{H}_1$ .*

We prove this by finding  $\mathcal{H}_1$  as a sub-planar algebra of the graph planar algebra of one of the extended Haagerup graphs. The following lemma simplifies the proof of irreducibility for subalgebras of graph planar algebras.

**Lemma 3.11** *If  $\mathcal{P} \subset GPA(G)$ ,  $\dim \mathcal{P}_{0,+} = 1$ , and  $G$  has an even univalent vertex, then  $\mathcal{P}$  is an irreducible subfactor planar algebra.*

**Proof** To show that  $\mathcal{P}$  is an irreducible subfactor planar algebra, we need to show that  $\mathcal{P}$  is spherical and positive definite, and that  $\dim \mathcal{P}_{0,\pm} = 1$  and  $\dim \mathcal{P}_{1,+} = 1$ . By Proposition 2.12, the graph planar algebra is spherical and positive definite. The subalgebra  $\mathcal{P}$  inherits both of these properties. We are given  $\dim \mathcal{P}_{0,+} = 1$ . Also,  $\mathcal{P}_{0,-}$  injects into  $\mathcal{P}_{1,+}$ , (by tensoring with a strand on the left). It remains only to show that  $\dim \mathcal{P}_{1,+} = 1$ .

Let  $v$  be an even univalent vertex of  $G$ . Let  $w$  be the unique vertex connected to  $v$ . Suppose  $X \in \mathcal{P}_{1,+}$  is some functional on paths of length two in  $G$ .

Now  $\text{tr}_0(X)$  is a closed diagram with unshaded exterior. (Note here we use  $\text{tr}_0$ , the diagrammatic trace, without applying a partition function, even though  $\dim \mathcal{P}_{0,+} = 1$ .) This is a functional defined on even vertices, via a state sum. Since  $v$  is univalent, the state sum for  $\text{tr}_0(X)(v)$  has only one term, giving

$$\text{tr}_0(X)(v) = X(vw) \frac{d_w}{d_v}.$$

Similarly,

$$\text{tr}_0(X^*X)(v) = X(vw)X^*(vw) \frac{d_w}{d_v}$$

Thus if  $\text{tr}_0(X)(v)$  is zero then  $\text{tr}_0(X^*X)(v)$  is zero also. Note that  $\text{tr}_0(X)$  and  $\text{tr}_0(X^*X)$  are both scalar multiples of the empty diagram, and so  $\text{tr}_0(X^*X)(v) = 0$  implies that  $\text{tr}_0(X^*X) = 0$ .

Therefore, if  $\text{tr}_0(X)$  is zero then  $\text{tr}_0(X^*X)$  is zero. Then by positive definiteness, if  $\text{tr}_0(X^*X)$  is zero then  $X$  is zero.

We conclude that the diagrammatic trace function is injective on  $\mathcal{P}_{1,+}$  and thus  $\mathcal{P}_{1,+}$  is one-dimensional.  $\square$

Recall the Haagerup moments from Definition 3.6. In the current setting,  $n = 8$ ,  $[2] = d_1$ , and the Haagerup moments are as follows.

- $\text{tr}(S^2) = [9] \sim 24.66097$ ,
- $\text{tr}(S^3) = 0$ ,
- $\text{tr}(S^4) = [9]$ ,
- $\text{tr}(\rho^{1/2}(S)^3) = i \frac{[18]}{\sqrt{[8][10]}} \sim 15.29004i$ .

**Proposition 3.12** *Suppose that  $S \in \text{GPA}(\mathcal{H}_1^p)_{8,+}$  is self-adjoint, uncappable, a rotational eigenvector with eigenvalue  $-1$ , and has the above Haagerup moments. Let  $\mathcal{PA}(S)$  be the subalgebra of  $\text{GPA}(\mathcal{H}_1^p)_{8,+}$  generated by  $S$ . Then  $\mathcal{PA}(S)$  is an irreducible subfactor planar algebra with principal graphs  $\mathcal{H}_1$ .*

**Proof** By Proposition 3.7,  $S \in \text{GPA}(\mathcal{H}_1^p)$  satisfies all of the relations used to define  $\mathcal{Q}^1$ . Thus by Theorem 3.9,  $\mathcal{PA}(S)$  is isomorphic to  $\mathcal{Q}^1/\mathcal{N}$ . By Theorem 3.8,  $(\mathcal{Q}^1/\mathcal{N})_{0,+}$  is 1-dimensional. By Lemma 3.11 it follows that  $\mathcal{Q}^1/\mathcal{N}$  is an irreducible subfactor planar algebra. By Haagerup's classification [14] it follows that the principal graphs of  $\mathcal{Q}^1/\mathcal{N}$  must be the unique possible graph pair with the correct graph norm, namely  $\mathcal{H}_1$ .  $\square$

To prove Theorem 3.10, it remains to find  $S \in \text{GPA}(\mathcal{H}_1^p)_{8,+}$  satisfying the requirements of the above proposition. We defer this to Section 6, where we give an explicit description of  $S$  and some long computations of the moments, assisted by computer algebra software.

## 4 The jellyfish algorithm

This section is dedicated to proving that the relations of Definition 3.2 enable us to reduce any closed diagram built from copies of  $S$  to a scalar multiple of the empty diagram.

Questions of whether you can evaluate an arbitrary closed diagram are ubiquitous in quantum topology. The simplest such algorithms (e.g., the Kauffman bracket algorithm for knots) involve decreasing the number of generators (in this case, crossings) at each step. Slightly more complicated algorithms (e.g., HOMFLY evaluations) include steps that leave the number of generators constant while decreasing some other measure of complexity (such as the unknotting number). Another common technique is to apply Euler characteristic arguments to find a small “face” (with generators thought of as vertices) that can then be removed. Again, the simplest such algorithms decrease the number of faces at every step (e.g., Kuperberg's

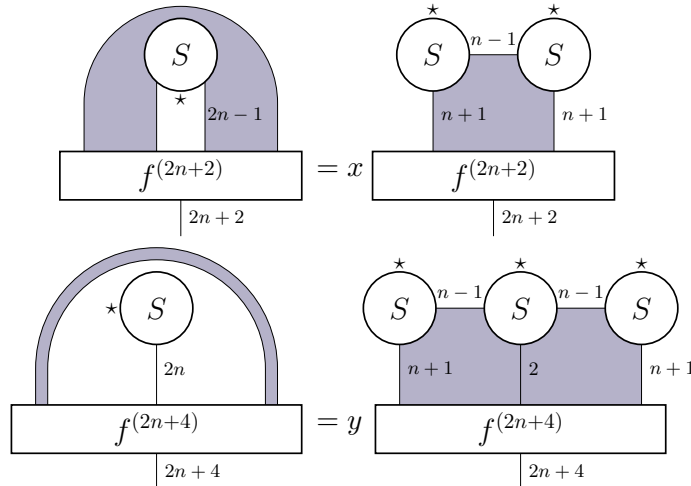
rank 2 spiders [32]), while more difficult algorithms require steps that maintain the number of faces before removing a face (e.g., Peters' approach to  $\mathcal{H}_0$  in [36]). In all of these algorithms, the number of generators is monotonically non-increasing as the algorithm proceeds. The algorithm we describe below is unusual in that it initially increases the number of generators in order to put them in a desirable configuration. We hope that this technique will be of wider interest in quantum topology. Therefore, other than referring to Definitions 3.1 and 3.2, this section is independent from the rest of the paper.

The algorithm we will describe gives a proof of Theorem 3.8, which we repeat from above:

**Theorem 3.8** *If  $\mathcal{P}$  is a planar algebra that is singly generated by an  $n$ -box  $S$  satisfying the five relations of Definition 3.2 then any closed diagram in  $\mathcal{P}_{0,+}$  is a multiple of the empty diagram.*

We do not actually need the full strength of the relations of Definition 3.2. The theorem is true for any planar algebra that is singly generated by an  $n$ -box  $S$  such that:

- $S$  is a rotational eigenvector:  $\rho(S) = \omega S$  for some  $\omega$ ,
- $S$  is uncappable (see Definition 3.1),
- $S^2 = aS + bf^{(n)}$  for some scalars  $a$  and  $b$  (multiplication is defined in Figure 1), and
- $S$  satisfies one- and two-strand braiding substitutes of the form:



for some scalars  $x$  and  $y$  in  $\mathbb{C}$ .

Before going through the details, we briefly sketch the idea. First use the one- and two-strand braiding substitutes to pull all copies of  $S$  to the outside of the diagram. This will usually increase the number of copies of  $S$ . We can then guarantee that there is a pair of copies of  $S$  connected by at least  $n$  strands. This is a copy of  $S^2$ , which we can then express using fewer copies of  $S$ . All copies of  $S$  remain on the outside, and so we can again find a copy of  $S^2$ . Repeating this eventually gives an element of the Temperley-Lieb planar algebra, which is evaluated as usual. See Figure 2 for an example. We like to think of the copies of  $S$  as “jellyfish floating to the surface,” and hence the name for the algorithm.

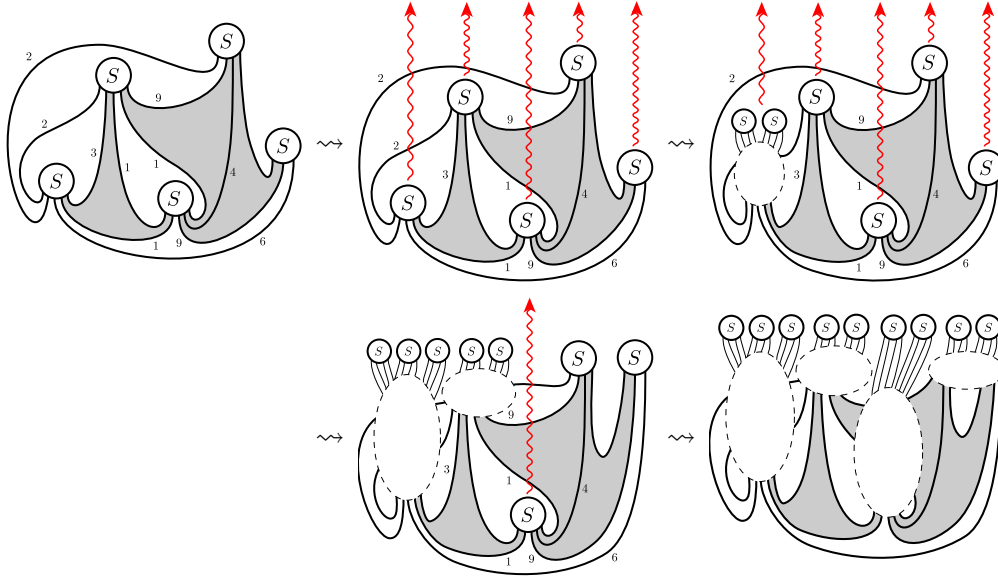


Figure 2: The initial steps of the jellyfish algorithm. The dotted ovals represent linear combinations of Temperley-Lieb diagrams. This is only a schematic illustration - to be precise, the result should be a linear combination of diagrams with various (sometimes large) numbers of copies of  $S$ .

**Definition 4.1** Suppose  $D$  is a diagram in  $\mathcal{P}$ . Let  $S_0$  be a fixed copy of the generator inside  $D$ . Suppose  $\gamma$  is an embedded arc in  $D$  from a point on the boundary of  $S_0$  to a point on the top edge of  $D$ . Suppose  $\gamma$  is in general position, meaning that it intersects the strands of  $D$  transversely, and does not touch any generator except at its initial point on  $S_0$ . Let  $m$  be the number of points of intersection between  $\gamma$  and the strands of  $D$ . If  $m$  is minimal over all such arcs  $\gamma$  then we say  $\gamma$  is a geodesic and  $m$  is the distance from  $S_0$  to the top of  $D$ .

**Lemma 4.2** Suppose  $X$  is a diagram consisting of one copy of  $S$  with all strands pointing down, and  $d$  parallel strands forming a “rainbow” over  $S$ , where  $d \geq 1$ . Then  $X$  is a linear combination of diagrams that contain at most three copies of  $S$ , each having distance less than  $d$  from the top of the diagram.

**Proof** First consider the case  $d = 1$ . Up to applying the rotation relation  $\rho(S) = \omega S$ ,  $X$  is as shown in Figure 3.

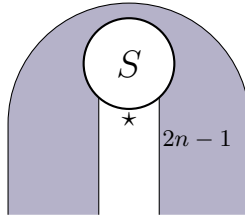


Figure 3:  $X$  in the case  $d = 1$ .

Recall that we have the relation

$$\begin{array}{c} \text{Diagram 1: A circle } S \text{ at the top of a box labeled } f^{(2n+2)} \text{ with a line below labeled } 2n+2. \text{ A semi-circular arc connects the top of the box to the top of } S, \text{ with a star on the arc and label } 2n-1. \\ = x \cdot \begin{array}{c} \text{Diagram 2: Two circles } S \text{ at the top of a box labeled } f^{(2n+2)} \text{ with a line below labeled } 2n+2. \text{ The left circle has a star and label } n-1, \text{ and the right circle has a star and label } n+1. \end{array} \end{array}$$

Consider what happens to the left side of the above relation when we write  $f^{(2n+2)}$  as a linear combination of Temperley-Lieb diagrams  $\beta$ . The term in which  $\beta$  is the identity occurs with coefficient one, and gives the diagram  $X$ . Suppose  $\beta$  is not the identity. Then  $\beta$  contains a cup that connects two adjacent strands from  $X$ . If both ends of the cup are attached to  $S$  then the resulting diagram is zero. If not, then the cup must be at the far left or the far right of  $\beta$ . Such a cup converts  $X$  to a rotation of  $S$ , so gives distance zero from  $S$  to the top of the diagram.

Now consider what happens to the right side of the above relation when we write  $f^{(2n+2)}$  as a linear combination of Temperley-Lieb diagrams  $\beta$ . Every term in this expansion is a diagram with two copies of  $S$ , each of having distance zero from the top.

By rearranging terms in the one-strand braiding substitute, we can write  $X$  as a linear combination of diagrams that contain one or two copies of  $S$ , each having distance zero from the top of the diagram. This completes the case  $d = 1$ .

The case  $d = 2$  is similar, but we use the two-strand braiding substitute.

Finally, suppose  $d > 2$ . If  $d$  is odd then  $\gamma$  begins in a shaded region of  $X$ . Then  $X$  contains a copy of the diagram shown in Figure 3, up to the rotation relation  $\rho(S) = \omega S$ . We can therefore apply our analysis of that case. Similarly, if  $d$  is even then we use the two-strand braiding substitute.  $\square$

**Definition 4.3** We say a diagram  $D$  in  $\mathcal{P}$  is in jellyfish form if all occurrences of  $S$  lie in a row at the top of  $D$ , and all strands of  $D$  lie entirely below the height of the tops of the copies of  $S$ .

**Lemma 4.4** Every diagram in  $\mathcal{P}$  is a linear combination of diagrams in jellyfish form.

**Proof** Suppose  $D$  is a diagram in  $\mathcal{P}$  (not necessarily closed), drawn in such a way that all endpoints lie on the bottom edge of  $D$ . If every copy of the generator in  $D$  is distance zero from the top edge of  $D$  then  $D$  is already in jellyfish form, up to isotopy. If not, we will use Lemma 4.2 to pull each copy of  $S$  to the top  $D$ . It is convenient for our proof, but not necessary for the algorithm, to move copies of our generator  $S$  along geodesics.

Suppose  $S_0$  is a copy of  $S$  that has distance  $d$  from the top of  $D$ , where  $d \geq 1$ . Let  $\gamma$  be a geodesic from  $S_0$  to the top edge of  $D$ . Let  $X$  be a small neighborhood of  $S_0 \cup \gamma$ . By applying an isotopy, we consider  $X$  to be a diagram in a rectangle,

consisting of a copy of  $S_0$  with all strands pointing down, and a “rainbow” of  $d$  strands over it.

By Lemma 4.2,  $X$  is a linear combination of diagrams that contain at most three copies of  $S$ , each having distance less than  $d$  from the top of the diagram. Let  $X'$  be one of the terms in this expression for  $X$ . Let  $D'$  be the result of replacing  $X$  by  $X'$  in  $D$ .

Suppose  $S_1$  is a copy of the generator in  $D'$ . If  $S_1$  lies in  $X'$  then the distance from  $S_1$  to the top of  $D'$  is at most  $d - 1$ . Now suppose  $S_1$  does not lie in  $X'$ . By basic properties of geodesics, there is a geodesic in  $D$  from  $S_1$  to the top of  $D$  that does not intersect  $\gamma$ . This geodesic is still a path in general position in  $D'$ , and still intersects strands in the same number of points. Thus the distance from  $S_1$  to the top of  $D$  does not increase when we replace  $X$  by  $X'$ .

In summary, if we replace  $X$  by  $X'$ , then  $S_0$  will be replaced by one, two or three copies of  $S$  that are closer to the top of  $D$ , and no other copy of  $S$  will become farther from the top of  $D$ . Although the number of copies of  $S$  may increase, it is not hard to see that this process must terminate. For example, we have decreased the sum over each generator  $S_0$  of 4 to the power of the distance from  $S_0$  to the top.  $\square$

We now prove Theorem 3.8, that  $\dim(\mathcal{P}_{0,+}) = 1$ .

**Proof of Theorem 3.8.** Suppose  $D$  is a closed diagram with unshaded exterior. We must show that  $D$  is a scalar multiple of the empty diagram. By the previous lemma, we can assume  $D$  is in jellyfish form. We can also assume there are no closed loops or cups attached to generators, so that every strand must connect two different copies of the generator.

We argue that there is a copy of the generator connected to only one or two adjacent copies of the generator. This is a simple combinatorial fact about this kind of planar graph. Consider all strands that do not connect adjacent vertices. Amongst these, find one that has the smallest (positive) number of vertices between its endpoints. Any vertex between the endpoints of this strand can connect only to its two neighbors.

Let  $S_0$  be a copy of the generator such that  $S_0$  is connected only to one or two adjacent copies of the generator. Then  $S_0$  is connected to another copy of the generator,  $S_1$ , by at least  $n$  parallel strands. See Figure 4 for an example. Recall that  $S^2 = aS + bf^{(n)}$ . Thus we can replace  $S_0$  and  $S_1$  with  $aS + bf^{(n)}$ , giving a linear combination of diagrams that are still in jellyfish form, but contain fewer copies of the generator. By induction,  $D$  is a scalar multiple of the empty diagram.  $\square$

## 5 Relations from moments

In this section we prove Proposition 3.7, which says that certain conditions on an element  $S$  imply the five relations of Definition 3.2. We use this Proposition once

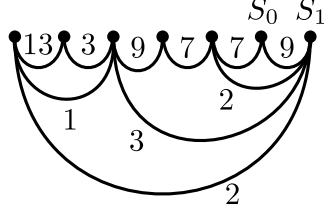


Figure 4: Jellyfish form, illustrating (with  $n = 8$ ) the proof of Theorem 3.8.

in the proof of Theorem 3.9, and once in the proof of Theorem 3.10. These are the uniqueness and existence results. In the proof of uniqueness, we must show that a certain subfactor planar algebra  $\mathcal{P}$  is isomorphic to  $\mathcal{Q}^k/\mathcal{N}$ . We use Proposition 3.7 to show that an element  $S$  of  $\mathcal{P}$  satisfies the defining relations of  $\mathcal{Q}^k/\mathcal{N}$ . In the proof of existence, we must show that a certain subalgebra  $\mathcal{P}$  of a graph planar algebra has a one-dimensional space of  $n$ -boxes. We use Proposition 3.7 to show that the generator  $S$  of  $\mathcal{P}$  satisfies relations, which we then use in the algorithm of §4.

Most of this section consists of computations of inner products between diagrams. Since the values of these inner products may be useful for studying other planar algebras, we strive to use weaker assumptions whenever possible.

**Assumption 5.1**  $\mathcal{P}$  is a spherical planar algebra with modulus  $[2] = q + q^{-1}$ , where  $q$  is not a root of unity (so we can safely divide by quantum integers). Furthermore,  $S \in \mathcal{P}_{n,+}$  is uncappable and has rotational eigenvalue  $\omega$ .

Recall that the Haagerup moments are as follows.

- $\text{tr}(S^2) = [n + 1]$ ,
- $\text{tr}(S^3) = 0$ ,
- $\text{tr}(S^4) = [n + 1]$ ,
- $\text{tr}(\rho^{1/2}(S)^3) = i \frac{[2n+2]}{\sqrt{[n][n+2]}}$ .

**Assumption 5.2**  $\mathcal{P}$  is positive definite and has modulus  $[2] = d_k$ , where  $n = 4k + 4$ . Furthermore,  $S \in \mathcal{P}_{n,+}$  has rotational eigenvalue  $\omega = -1$ , and has the Haagerup moments.

**Restatement of Proposition 3.7** Suppose  $\mathcal{P}$  is a planar algebra,  $S \in \mathcal{P}_{n,+}$ , and Assumptions 5.1 and 5.2 hold. Then  $S$  satisfies the five relations given in Definition 3.2.

The proof involves some long and difficult computations, but the basic idea is very simple. We will define diagrams  $A$ ,  $B$ ,  $C$  and  $D$ . We must prove certain linear relations hold between  $A$  and  $B$ , and between  $C$  and  $D$ . Since  $\mathcal{P}$  is positive definite, we can do this by computing certain inner products. In general, there is a linear relation between  $X$  and  $Y$  if and only if

$$\langle X, X \rangle \langle Y, Y \rangle = |\langle X, Y \rangle|^2.$$

In this case,

$$\langle Y, Y \rangle X - \langle X, Y \rangle Y = 0,$$

as can be seen by taking the inner product of this expression with itself.

To compute the necessary inner products we must evaluate certain closed diagrams. Most of these closed diagrams involve a Jones-Wenzl idempotent. In principal, we could expand this idempotent into a linear combination of Temperley-Lieb diagrams, and evaluate each resulting tangle in terms of the moments, or using relations that have already been proved. In practice, we must take care to avoid dealing with an unreasonably large number of terms.

## 5.1 Definitions and conventions

**Notation** We use the notation that a thick strand in a Temperley-Lieb diagram always represents  $n - 1$  parallel strands. For example,



is the identity of  $TL_{2n+2}$ .

**Definition 5.3** For  $m \geq 0$ , let

$$W_m = q^m + q^{-m} - \omega - \omega^{-1},$$

as on page 30 of [25].

The diagrams  $A$ ,  $B$ ,  $C$  and  $D$  of Figures 5 and 6 are the terms in the “braiding” relations we wish to prove.

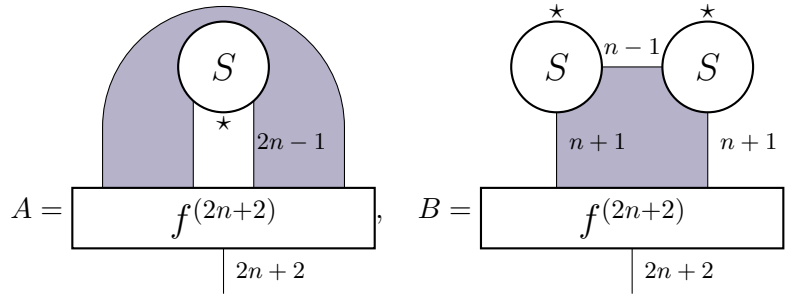


Figure 5:  $A$  and  $B$

Along the way, we will also use the diagrams  $\Gamma$  and  $B'$ , as shown in Figure 7. Note that  $\Gamma$  is an example of a tetrahedral structure constant from [25, §3].

## 5.2 Computing inner products

We now calculate the necessary inner products.



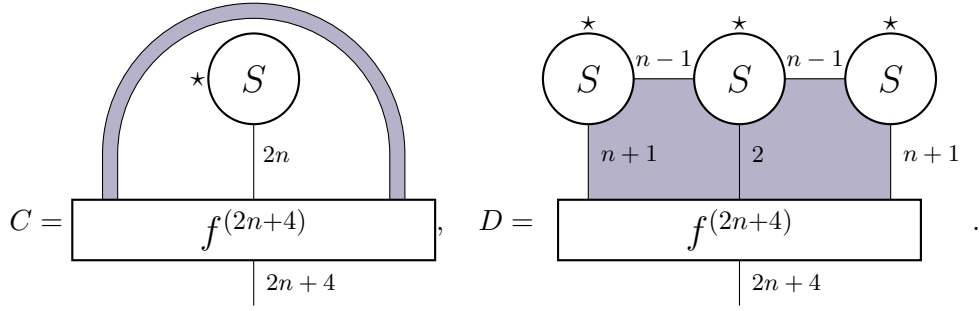


Figure 6:  $C$  and  $D$

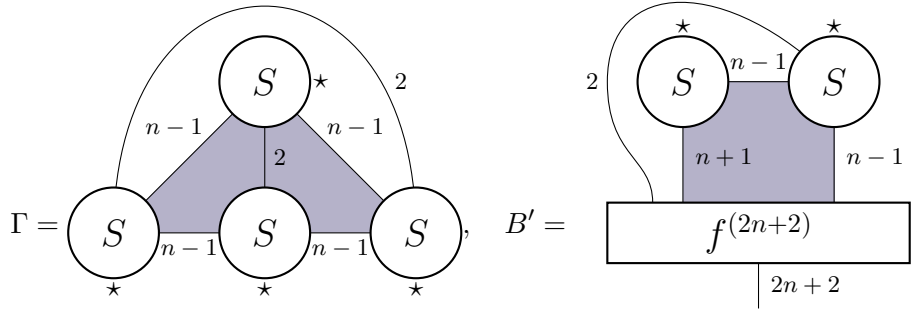


Figure 7:  $\Gamma$  and  $B'$

**Lemma 5.4** *If Assumption 5.1 holds then*

$$\langle A, A \rangle = \frac{1}{[2n+2]} W_{2n+2} \text{tr}(S^2).$$

*The same holds with the reverse shading.*

**Proof** We must evaluate the closed diagram

$$\langle A, A \rangle = Z \left( \begin{array}{c} \text{Diagram} \end{array} \right).$$

The idea is to apply Lemma 2.11 to the copy of  $f^{(2n+2)}$ , and then evaluate each of the resulting diagrams.

Consider the first term. Here,  $f^{(2n+2)}$  is replaced by a copy of  $f^{(2n+1)}$  together with a single vertical strand on the right. Since the planar algebra is spherical, we can

drag this strand over to the left. This results in a partial trace of  $f^{(2n+1)}$ , which is equal to

$$\frac{[2n+2]}{[2n+1]} f^{(2n)}.$$

By noting that  $S \cdot f^{(n)} = S$ , we obtain

$$\frac{[2n+2]}{[2n+1]} \text{tr}(S^2)$$

as the value of the first term.

Now consider the terms in the sum over  $a$  and  $b$ . Here,  $f^{(2n+2)}$  is replaced by a copy of  $f^{(2n)}$  together with a “cup” and a “cap” in positions given by  $a$  and  $b$ . In most cases, the resulting diagram is zero because  $S$  is uncappable. We only need to consider the four cases where  $a, b \in \{1, 2n+1\}$ . Each of these gives  $\text{tr}(S^2)$ , up to some rotation of one or both copies of  $S$ . We obtain

$$\frac{1}{[2n+1][2n+2]} (-1 - [2n+1]^2 - [2n+1]\omega - [2n+1]\omega^{-1}) \text{tr}(S^2).$$

The result now follows by adding the above two expressions and writing the quantum integers in terms of  $q$ .  $\square$

**Lemma 5.5** *If Assumption 5.1 holds and either  $\omega = -1$  or  $S^2 = f^{(n)}$  then*

$$\langle A, B \rangle = \text{tr}(\rho^{1/2}(S)^3).$$

**Proof** We must evaluate the closed diagram

$$\langle A, B \rangle = Z \left( \begin{array}{c} \text{Diagram} \end{array} \right).$$

Consider the complete expansion of  $f^{(2n+2)}$  into a linear combination of Temperley-Lieb diagrams  $\beta \in TL_{2n+2}$ . For most such  $\beta$ , the resulting diagram is zero because  $S$  is uncappable. There are only three values of  $\beta$  we need to consider. For each of these, we compute the corresponding coefficient, and easily evaluate the corresponding diagram. The results are shown in Table 1.

Now take the sum over all  $\beta$  in the table of the coefficient times the value of the diagram. Note that if  $S^2 = f^{(n)}$  then  $\text{tr}(S^3) = 0$ . Thus the two non-identity values of  $\beta$  either cancel or give zero. The term where  $\beta$  is the identity gives the desired result.  $\square$



We can now evaluate

$$\langle B, B \rangle = \langle B, B \rangle - \frac{[n+1]}{[n+2]} \langle f^{(n+1)}, B \rangle.$$

For both terms on the right hand side, we express  $f^{(2n+2)}$  as a linear combination of Temperley-Lieb diagrams  $\beta$ . For every  $\beta$  except the identity, these terms cancel. The identity term gives the following.

$$\langle B, B \rangle = \frac{[n+1]}{[n]} \text{tr}(S^2) - \frac{[n+1]}{[n+2]} \text{tr}(S^2).$$

The result now follows from a quantum integer identity, and that fact that  $\text{tr}(S^2) = [n+1]$ .  $\square$

**Lemma 5.7** *If Assumption 5.1 holds then*

$$\langle C, C \rangle = \frac{[2][2n+2]}{[2n+3][2n+4]} W_{2n+4} \langle A, A \rangle.$$

**Proof** We must evaluate the closed diagram

$$\langle C, C \rangle = Z \left( \begin{array}{c} \text{Diagram: A large circle containing a horizontal rectangle labeled } f^{(2n+4)}. \text{ Inside the circle, above and below the rectangle are two smaller circles, each labeled } S \text{ and marked with a star. Two vertical strands connect the top } S \text{ to the rectangle, and two connect the rectangle to the bottom } S. \end{array} \right).$$

The proof is very similar to that of Lemma 5.4. Indeed, these are both special cases of a general recursive formula. The idea is to apply Lemma 2.11 to the copy of  $f^{(2n+4)}$ , and then evaluate each of the resulting diagrams.

Consider the first term. Here,  $f^{(2n+4)}$  is replaced by a copy of  $f^{(2n+3)}$  together with a single vertical strand on the right. We can use sphericity to drag this strand over to the left. This results in a partial trace of  $f^{(2n+3)}$ , which is

$$\frac{[2n+4]}{[2n+3]} f^{(2n+2)}.$$

Using Lemma 5.4, we obtain

$$\frac{[2n+4]}{[2n+3]} \langle A, A \rangle.$$

Now consider the terms in the sum over  $a$  and  $b$ . Here,  $f^{(2n+4)}$  is replaced by a copy of  $f^{(2n+2)}$  together with a “cup” and a “cap” in positions given by  $a$  and  $b$ . In most cases, the resulting diagram is zero because  $S$  is uncappable. A cup at the leftmost position also gives zero since it connects two strands coming from the top right of  $f^{(2n+2)}$ . Similarly, a cup in the rightmost position gives zero, as does a cap

in the leftmost or rightmost position. The only cases that give a non-zero diagram are when  $a, b \in \{2, 2n+2\}$ . We obtain

$$\frac{1}{[2n+3][2n+4]}(-[2]^2 - [2n+2]^2 - [2][2n+2]\omega - [2][2n+2]\omega^{-1})\langle A, A \rangle.$$

The result now follows by adding the above two expressions and expanding the quantum integers in terms of  $q$ .  $\square$

**Lemma 5.8** *If Assumption 5.1 holds and  $S^2 = f^{(n)}$  then*

$$\langle D, D \rangle = \frac{[n+1]^2[2n+2]}{[2][n]^2[2n+3]}([n+3] - [2][n]).$$

**Proof** We must evaluate the closed diagram

$$\langle D, D \rangle = Z \left( \begin{array}{c} \begin{array}{ccc} \overset{\star}{S}^{n-1} & \overset{\star}{S}^{n-1} & \overset{\star}{S}^{n-1} \\ \text{---} & \text{---} & \text{---} \\ n+1 & 2 & n+1 \end{array} \\ f^{(2n+4)} \\ \begin{array}{ccc} \text{---} & \text{---} & \text{---} \\ n+1 & 2 & n+1 \\ \underset{\star}{S}^{n-1} & \underset{\star}{S}^{n-1} & \underset{\star}{S}^{n-1} \end{array} \end{array} \right).$$

Consider the expansion of  $f^{(2n+4)}$  into a linear combination of Temperley-Lieb diagrams  $\beta \in TL_{2n+4}$ . For each such  $\beta$ , we compute the coefficient and the value of the corresponding diagram. There are twelve values of  $\beta$  that give a non-zero diagram. Many of these are reflections or rotations of each other. They are shown in Table 2.

$\beta$	$\text{Coeff}_{f^{(2n+4)}}(\beta)$	value of diagram
	1	$\left(\frac{[n+1]}{[n]}\right)^2 \text{tr}(S^2)$
	$-\frac{[n+1][n+3]}{[2n+4]}$	$\frac{[n+1]}{[n]} \text{tr}(S^4)$
	$\frac{[n][n+1][n+2][n+3]}{[2][2n+3][2n+4]}$	$\left(\frac{[n+1]}{[n]}\right)^2 \text{tr}(S^2)$
	$\frac{[n]^2[n+1]^2}{[2][2n+3][2n+4]}$	$\left(\frac{[n+1]}{[n]}\right)^2 \text{tr}(S^2)$
	$-\frac{[n][n+1]^2[n+2]}{[2n+3][2n+4]}$	$\frac{[n+1]}{[n]} \text{tr}(S^4)$
	$\frac{[2][n+1]^2[n+2]^2}{[2n+3][2n+4]}$	$\text{tr}(S^6)$

Table 2: The terms of  $f^{(2n+4)}$  that contribute to  $\langle D, D \rangle$ .

Now take the sum over all  $\beta$  in the table of the coefficient times the value of the diagram. Since  $S^2 = f^{(n)}$ , we have

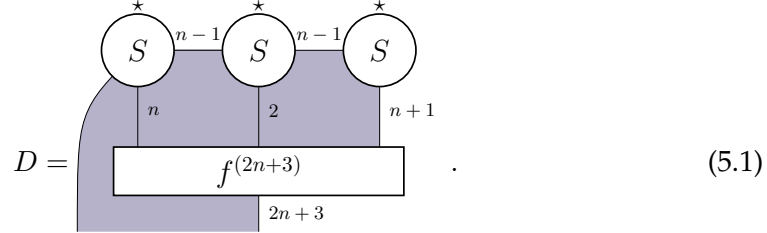
$$\text{tr}(S^2) = \text{tr}(S^4) = \text{tr}(S^6).$$

$\square$

**Lemma 5.9** *If Assumption 5.1 holds and  $S^2 = f^{(n)}$  and  $\omega = -1$  then*

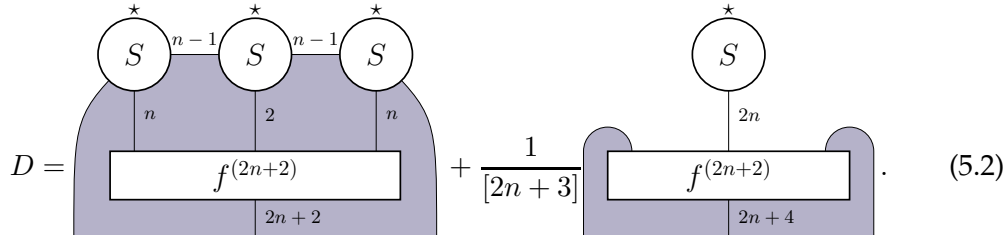
$$\langle C, D \rangle = Z(\Gamma) + \frac{2}{[n]} + \frac{1}{[2n+3]} \langle A, A \rangle.$$

**Proof** First we prove a formula for  $D$ .



Apply a left to right reflection of Lemma 2.10 to the copy of  $f^{(2n+4)}$  in  $D$ . The first term gives the desired diagram. Now consider a term in the sum over  $a$ . This contains a cup in a position given by  $a$ . For all but two values of  $a$ , this cup connects two strands from the same copy of  $S$ , so the resulting diagram is zero. The remaining two values of  $a$  are  $n+1$  and  $n+3$ . For each of these, the cup connects two different copies of  $S$ , giving rise to a copy of  $S^2$ . We can replace this with  $f^{(n)}$ , which is a linear combination of Temperley-Lieb diagrams. But any such Temperley-Lieb diagram gives rise to a cup connected to the top edge of  $f^{(2n+3)}$ , and thus gives zero. This completes the derivation of Equation (5.1).

Next we prove the following.



To prove this, apply Lemma 2.10 to the copy of  $f^{(2n+3)}$  in Equation (5.1). The first term in the expansion gives the first term in the desired expression for  $D$ .

It remains to show that the sum over  $a$  is equal to the second term in the desired expression. Consider a term for  $a \notin \{n, n+2\}$ . The cup connects two strands from the same copy of  $S$ , giving zero.

Consider the term corresponding to  $a = n+2$ . The position of the cup is such that the right two copies of  $S$  are connected by  $n$  strands. This is a copy of  $S^2$ , which is equal to  $f^{(n)}$ , which in turn is a linear combination of Temperley-Lieb diagrams. Any such Temperley-Lieb diagram results in a cap connected to the top edge of  $f^{(2n+2)}$ , giving zero.

Now consider the term corresponding to  $a = n$ . The coefficient of this term is

$$(-1)^{n+1} \frac{[n]}{[2n+3]}.$$

The left two copies of  $S$  form a copy of  $S^2$ , which is equal to a sideways copy of  $f^{(n)}$ , which in turn we express as a linear combination of Temperley-Lieb diagrams  $\beta$ . Every such  $\beta$  gives zero except

$$\beta = \searrow \swarrow \cdots \swarrow \searrow,$$

which has coefficient

$$(-1)^{n+1} \frac{1}{[n]}$$

and gives the second diagram in the desired expression for  $D$ . The total coefficient of this diagram is the product of the above coefficients for the term  $a$  and the diagram  $\beta$ . This completes the derivation of Equation (5.2).

Now we return to our computation of  $\langle C, D \rangle$ . We must evaluate the expression

$$Z \left( \begin{array}{c} \text{Diagram} \end{array} \right).$$

Apply Equation (5.2), upside down, to the bottom half of this diagram. For the last term of this equation, apply sphericity and use Lemma 5.4 to reverse the shading. We obtain the term

$$\frac{1}{[2n+3]} \langle A, A \rangle.$$

The first term from the equation gives

$$\left( \begin{array}{c} \text{Diagram} \end{array} \right). \quad (5.3)$$

We expand  $f^{(2n+2)}$  into a linear combination of Temperley-Lieb diagrams  $\beta$ . There are five values of  $\beta$  we need to consider. These are shown in Table 3.

Now take the sum over all  $\beta$  in the table of the coefficient times the value of the diagram.  $\square$

$\beta$	$\text{Coeff}_{f^{(2n+2)}}(\beta)$	value of diagram
$   $	1	$Z(\Gamma)$
$\nearrow   $	$(-1)^n \frac{[n+2]}{[2n+2]}$	$(-1)^{n+1} \frac{1}{[n]} \omega \text{tr}(S^2)$
$   \searrow$	$(-1)^n \frac{[n+2]}{[2n+2]}$	$(-1)^{n+1} \frac{1}{[n]} \omega^{-1} \text{tr}(S^2)$
$ \searrow \nearrow $	$(-1)^n \frac{[n]}{[2n+2]}$	$(-1)^{n+1} \frac{1}{[n]} \text{tr}(S^2)$

Table 3: The terms of  $f^{(2n+2)}$  that contribute to (5.3).

The following inner products involving  $B'$  will help us to evaluate  $Z(\Gamma)$ .

**Lemma 5.10** *If Assumption 5.1 holds and  $S^2 = f^{(n)}$  and  $\omega = -1$  then*

$$\langle A, B' \rangle = \frac{[n-1]}{[n+1]} \text{tr}(\rho^{1/2}(S)^3)$$

**Proof** We must evaluate the closed diagram

$$Z \left( \begin{array}{c} \text{Diagram} \end{array} \right).$$

The proof is very similar to that of Lemma 5.5, so we will omit the details. The relevant table is shown in Table 4.

$\beta$	$\text{Coeff}_{f^{(2n+2)}}(\beta)$	value of diagram
$\nearrow   $	$\frac{[2n]}{[2n+2]}$	$\text{tr}(\rho^{1/2}(S)^3)$
$ \searrow \nearrow $	$\frac{[2]}{[2n+2]}$	$\omega^{-1} \text{tr}(\rho^{1/2}(S)^3)$

Table 4: The terms of  $f^{(2n+2)}$  that contribute to  $\langle A, B' \rangle$ .

□

**Lemma 5.11** *If Assumption 5.1 holds and  $S^2 = f^{(n)}$  and  $\omega = -1$  then*

$$\langle B, B' \rangle = Z(\Gamma) + \frac{[2][n+1]}{[n][n+2]}.$$



**Proof** We must evaluate the closed diagram

$$Z \left( \begin{array}{c} \begin{array}{cc} \overset{\star}{\circ} S \overset{n-1}{\circ} & \overset{\star}{\circ} S \overset{n-1}{\circ} \\ \text{\textcolor{purple}{\rule{0.5cm}{0.4cm}}} & \text{\textcolor{purple}{\rule{0.5cm}{0.4cm}}} \\ \text{\textcolor{purple}{\rule{0.5cm}{0.4cm}}} & \text{\textcolor{purple}{\rule{0.5cm}{0.4cm}}} \\ \text{\textcolor{purple}{\rule{0.5cm}{0.4cm}}} & \text{\textcolor{purple}{\rule{0.5cm}{0.4cm}}} \end{array} \\ \text{\textcolor{black}{\rule{1.5cm}{0.2cm}}} f^{(2n+2)} \\ \begin{array}{cc} \text{\textcolor{purple}{\rule{0.5cm}{0.4cm}}} & \text{\textcolor{purple}{\rule{0.5cm}{0.4cm}}} \\ \text{\textcolor{purple}{\rule{0.5cm}{0.4cm}}} & \text{\textcolor{purple}{\rule{0.5cm}{0.4cm}}} \\ \text{\textcolor{purple}{\rule{0.5cm}{0.4cm}}} & \text{\textcolor{purple}{\rule{0.5cm}{0.4cm}}} \\ \text{\textcolor{purple}{\rule{0.5cm}{0.4cm}}} & \text{\textcolor{purple}{\rule{0.5cm}{0.4cm}}} \end{array} \\ \begin{array}{cc} \overset{\star}{\circ} S \overset{n-1}{\circ} & \overset{\star}{\circ} S \overset{n-1}{\circ} \\ \text{\textcolor{purple}{\rule{0.5cm}{0.4cm}}} & \text{\textcolor{purple}{\rule{0.5cm}{0.4cm}}} \\ \text{\textcolor{purple}{\rule{0.5cm}{0.4cm}}} & \text{\textcolor{purple}{\rule{0.5cm}{0.4cm}}} \\ \text{\textcolor{purple}{\rule{0.5cm}{0.4cm}}} & \text{\textcolor{purple}{\rule{0.5cm}{0.4cm}}} \end{array} \end{array} \right) .$$

Inspired by the proof of Lemma 5.6, we observe that

$$\langle B, B' \rangle = \langle B, B' \rangle - \frac{[n+1]}{[n+2]} \langle f^{(n+1)}, B' \rangle.$$

We expand the copies of  $f^{(2n+2)}$  on the right hand side. By the same argument as for Lemma 5.6, all terms will cancel except for those coming from the identity diagram.

If we replace  $f^{(2n+2)}$  by the identity in  $\langle B, B' \rangle$  then we obtain  $\Gamma$ . If we replace  $f^{(2n+2)}$  by the identity in  $\langle f^{(n+1)}, B' \rangle$  then we obtain a diagram containing two copies of  $S$  and one copy of  $f^{(n+1)}$ . We must now expand  $f^{(n+1)}$  as a linear combination of Temperley-Lieb diagrams  $\beta$ . For all but one such diagram  $\beta$ , the resulting diagram is zero because  $S$  is uncappable. The only diagram we need to consider is

$$\beta = \begin{array}{c} \diagup \quad \dots \quad \diagdown \\ \cup \quad \quad \cup \end{array},$$

which has coefficient  $\frac{[2]}{[n][n+1]}$  and gives the diagram  $\omega^{-1} \text{tr}(S^2)$ .  $\square$

### 5.3 Proving relations

We now use our inner products, together with Assumptions 5.1 and 5.2, to prove that the required relations hold. Note that the assumption  $\omega = -1$  implies

$$W_{2m} = \left( \frac{[2m]}{[m]} \right)^2.$$

**Lemma 5.12** *If Assumptions 5.1 and 5.2 hold then  $S^2 = f^{(n)}$ .*

**Proof** The relevant inner products are as follows.

- $\langle S^2, S^2 \rangle = \text{tr}(S^4) = [n+1],$
- $\langle S^2, f^{(n)} \rangle = \text{tr}(S^2) = [n+1],$
- $\langle f^{(n)}, f^{(n)} \rangle = \text{tr}(f^{(n)}) = [n+1].$

The inner product of  $S^2 - f^{(n)}$  with itself is zero, and the result follows from the assumption that  $\mathcal{P}$  is positive definite.  $\square$

**Lemma 5.13** *If Assumptions 5.1 and 5.2 hold then  $A = i \frac{\sqrt{[n][n+2]}}{[n+1]} B$ .*

**Proof** By Lemmas 5.4, 5.5, 5.6, and our values for the moments, we have the following.

- $\langle A, A \rangle = \frac{[2n+2]}{[n+1]},$
- $\langle A, B \rangle = i \frac{[2n+2]}{\sqrt{[n][n+2]}},$
- $\langle B, B \rangle = \frac{[n+1][2n+2]}{[n][n+2]}.$

Thus

$$\langle A, A \rangle \langle B, B \rangle = |\langle A, B \rangle|^2.$$

Thus  $A$  and  $B$  are linearly dependent. The precise relation is then

$$A = \frac{\langle A, B \rangle}{\langle B, B \rangle} B.$$

$\square$

**Lemma 5.14** *If Assumptions 5.1 and 5.2 hold then*

$$Z(\Gamma) = \frac{[n-1][2n+2] - [2][n+1]}{[n][n+2]}.$$

**Proof** By Lemmas 5.10 and 5.11,

- $\langle A, B' \rangle = \frac{[n-1]}{[n+1]} i \frac{[2n+2]}{\sqrt{[n][n+2]}}.$
- $\langle B, B' \rangle = Z(\Gamma) + \frac{[2][n+1]}{[n][n+2]}.$

By Lemma 5.13,  $A = i \frac{\sqrt{[n][n+2]}}{[n+1]} B$ . Thus

$$\langle A, B' \rangle = i \frac{\sqrt{[n][n+2]}}{[n+1]} \langle B, B' \rangle.$$

The result follows by solving for  $Z(\Gamma)$ .  $\square$

**Lemma 5.15** *If Assumptions 5.1 and 5.2 hold then*

$$C = \frac{[2][2n+4]}{[n+1][n+2]} D.$$

**Proof** By Lemmas 5.7, 5.9, 5.8 and our values for  $Z(\Gamma)$  and the moments, we have the following.

- $\langle C, C \rangle = \frac{[2][2n+2]^2[2n+4]}{[n+1][n+2]^2[2n+3]}.$
- $\langle C, D \rangle = \frac{[2n+2]^2}{[n+2][2n+3]}.$

- $\langle D, D \rangle = \frac{[n+1]^2[2n+2]}{[2][n]^2[2n+3]}([n+3] - [2][n]).$

Here, we have used quantum integer identities to simplify the expression for  $\langle C, D \rangle$ .

For arbitrary  $n$ ,  $m$ , and  $q$ ,

$$[n+m] = \frac{1}{[4]}([4-m][n] + [m][n+4]),$$

and

$$[2m] = [m]([m+1] - [m-1]).$$

By Lemma 2.14 and the assumption  $[2] = d_k$ ,

$$[n+4] = [3][n].$$

(This is the only time we use the assumption  $[2] = d_k$ .) We can now express each of our inner products in terms of  $[n]$ ,  $[2]$ ,  $[3]$ , and  $[4]$ . After some computation we find that

$$\langle C, C \rangle \langle D, D \rangle = |\langle C, D \rangle|^2.$$

Thus  $C$  and  $D$  are linearly dependent. The precise relation is then

$$C = \frac{\langle C, C \rangle}{\langle C, D \rangle} D.$$

□

## 6 Properties of the generator

In this section we construct an 8-box  $S \in GPA(\mathcal{H}_1^p)$  that satisfies the hypotheses of Proposition 3.12. The planar algebra generated by  $S$  is the desired extended Haagerup planar algebra, thus completing the proof of Theorem 3.10.

We start with a brief description of how we found  $S$ , since the definition of  $S$  is not very enlightening on its own. The goal was to find  $S \in GPA(\mathcal{H}_1^p)_8$  satisfying the first three relations of Definition 3.2, which say that  $\rho(S) = -S$ ,  $S$  is uncapable, and  $S^2 = f^{(8)}$ . The dimension of  $GPA(\mathcal{H}_1^p)_8$  is the number of loops of length 16 based at even vertices of  $\mathcal{H}_1^p$ , which is equal to 148375. We found the 19-dimensional space of solutions to the first two relations, then tried to solve the equation  $S^2 = f^{(8)}$ . This one equation in the 8-box space is actually 148375 separate equations over  $\mathbb{C}$ . We expect of course that there are many redundancies amongst these equations.

At this point the problem sounds quite tractable, but we were still unable to solve it by general techniques. We then used various ad hoc methods. First, we searched for quadratics that are perfect squares and solved those. This reduced the problem from 19 variables to 9. We then chose a small collection of quadratics, corresponding to certain ‘extremal’ loops in the basis, and found numerical approximations to a solution of these, using Newton’s method. Approximating such a solution by algebraic numbers, we could then go back and check that all the quadratic equations are satisfied exactly.

*Remark.* Our solution  $S$  need not be unique. Although the subfactor planar algebra with principal graphs  $\mathcal{H}_1$  is unique, there may be more than one way to embed it in its graph planar algebra. Indeed,  $-\overline{S}$  is also a solution, which corresponds to applying the graph automorphism, by Lemma 6.4. Due to the approximate nature of our search for  $S$ , we cannot say whether there are any other solutions.

The above description may sound daunting, but we manage to give a definition of  $S$  that involves specifying only 21 arbitrary looking numbers, and we reduce all the conditions we need to check on this element to computing certain powers of two matrices. The definition of  $S$  is still somewhat overwhelming, and the verification of its properties is done by computer. Those intrepid readers who continue reading this section will have to read a short Mathematica program in order to fully verify some of the steps.

We will use the notation for vertices of  $\mathcal{H}_1^p$  given in Section 2.3. Let

$$\lambda = \sqrt{2 - d^2},$$

$$C = -21516075\lambda^4 + 8115925\lambda^2 + 45255025.$$

For each  $w \in \{0, 1, 2\}^8$  we will define an element  $p_w \in \mathbb{Z}[\lambda]$  below.

**Definition 6.1** Suppose  $\gamma$  is a loop of length 16 in  $\mathcal{H}_1^p$ . Let the collapsed loop  $\hat{\gamma}$  be the sequence in  $\{0, 1, 2\}^8$  such that  $\gamma_{2i-1}$  is in arm number  $\hat{\gamma}_i$  (in the notation of Section 2.3) of  $\mathcal{H}_1^p$ . Further, define  $\sigma(\gamma)$  to be  $-1$  raised to the number of times the vertices  $v_0, w_0, z_i$  and  $a_i$  appear in  $\gamma$ .

$$S(\gamma) = C\sigma(\gamma)p_{\hat{\gamma}} \frac{1}{\sqrt{d_{\gamma_1}d_{\gamma_9}}} \prod_{i=1}^{16} \frac{1}{\sqrt{d_{\gamma_i}}}, \quad (6.1)$$

where the  $p_{\hat{\gamma}}$  are defined below.

*Remark.* The main reason we write  $S$  in the above form is that the  $p_{\hat{\gamma}}$  have much better number theoretic properties than the  $S(\gamma)$ . In particular, the  $p_{\hat{\gamma}}$  all live in a degree 6 extension of  $\mathbb{Q}$  while the  $S(\gamma)$  live in a much larger number field. As a consequence it is easier to do exact arithmetic using the  $p_{\hat{\gamma}}$ . There is a general reason for this phenomenon: the convention that subfactor planar algebras be spherical is not the best convention from the point of view of number theory. A much more convenient convention is the “lopsided” one where shaded circles count for 1 while unshaded circles count for the index  $d^2$ . Furthermore, this convention is also well-motivated from the perspective of subfactor theory where  ${}_NM_M$  has as its left von Neumann dimension the index while its right von Neumann dimension is 1. These issues warrant further investigation.

We make an apparently ad-hoc definition of 21 elements of  $\mathbb{Z}[\lambda]$ .

$$\begin{aligned} p_{00000001} &= -2\lambda^4 - \lambda^2 + 9 & p_{00000011} &= -\lambda^5 - \lambda^3 + 3\lambda \\ p_{00000101} &= 2\lambda^4 + \lambda^2 - 9 & p_{00000111} &= 1 \\ p_{00001001} &= \lambda^5 - \lambda^3 - 3\lambda & p_{00001011} &= \lambda^3 - 1 \\ p_{00010001} &= 2\lambda^4 + \lambda^2 - 9 & p_{00010011} &= \lambda^5 - \lambda^4 + \lambda^2 - 3\lambda + 4 \\ p_{00010101} &= \lambda^4 - 2\lambda^2 + 1 & p_{00010111} &= -\lambda^4 + 1 \end{aligned}$$

$$\begin{aligned}
p_{00011011} &= \lambda^4 - \lambda^2 - 3 & p_{00100101} &= -2\lambda^4 + 5 \\
p_{00100111} &= \lambda^2 + 1 & p_{00101011} &= -\lambda^5 - \lambda^3 + \lambda + 1 \\
p_{00101101} &= \lambda^5 - \lambda & p_{00110011} &= 2\lambda^5 + 5\lambda^3 + 4\lambda \\
p_{00110111} &= -\lambda^5 - 2\lambda^3 - 4\lambda^2 - \lambda - 5 & p_{01010101} &= -4\lambda^4 + 3\lambda^2 + 7 \\
p_{01010111} &= \lambda^4 + \lambda^2 & p_{01011011} &= \lambda^4 - 2\lambda^2 - 4 \\
p_{01110111} &= \lambda^4 + 6\lambda^2 + 6
\end{aligned}$$

These elements are also defined in a Mathematica notebook, available along with the sources for this article on the arXiv (as the file `aux/code/Generator.nb`), or at <http://tqft.net/EH/notebook>. A PDF printout of the notebook is available by following this URL, then replacing `.nb` with `.pdf`. Everything that follows in this section is paralleled in the notebook, and in particular each of the statements below that requires checking some arithmetic has a corresponding test defined in the notebook.

**Definition-Lemma 6.2** *We can consistently extend these definitions to every  $p_w$  for  $w \in \{0, 1\}^8$  by the rules*

$$p_{abcdefgh} = -p_{bcdefgha}, \quad (6.2)$$

$$p_{abcdefgh} = \bar{p}_{ahgfbcd}, \quad (6.3)$$

and

$$p_{00000000} = 0, \quad (6.4)$$

$$p_{abcd1111} = 0. \quad (6.5)$$

**Proof** For example, one can get from  $p_{00110011}$  to  $p_{01100110}$  either by rotating, or by reversing; fortunately  $p_{00110011}$  is purely imaginary. Under the operations implicit in Equations (6.2) and (6.3) each orbit in  $\{0, 1\}^8$  contains exactly one of the elements on which  $p$  is defined above or in Equations (6.4) and (6.5). The Mathematica notebook provides functions `VerifyRotation` and `VerifyConjugation` to check that these rules hold uniformly.  $\square$

We further extend these definitions to every  $p_w$  for  $w \in \{0, 1, 2\}^8$  by the rules

$$p_{x0y} + p_{x1y} + p_{x2y} = 0. \quad (6.6)$$

**Lemma 6.3** *For every  $abcd \in \{0, 1, 2\}^4$*

$$p_{abcd2222} = 0. \quad (6.7)$$

**Proof** This is a direct computation of 16 cases for  $abcd \in \{0, 1\}^4$  using Equation (6.6), after which the general case of  $abcd \in \{0, 1, 2\}^4$  follows, again from (6.6). The Mathematica notebook provides a function `Verify2sVanish` that checks this Lemma.  $\square$

A final interesting note on the  $p_w$ :

**Lemma 6.4** *If  $w'$  is obtained from  $w$  by exchanging all 1s and 2s, then  $p_w = -\overline{p_{w'}}$ .*

**Proof** A direct computation which you can verify using the Mathematica function `VerifyGraphSymmetry`.  $\square$

This ends the definition of  $S$ . We now prepare to prove that it has the properties required to generate a subfactor planar algebra with principal graph  $\mathcal{H}_1$ .

**Lemma 6.5** *The generator  $S$  is self-adjoint, has rotational eigenvalue  $-1$  and is uncappable:*

$$S^* = S, \quad \rho(S) = -S, \quad \epsilon_i(S) = 0 \text{ for } i = 1, \dots, 2k.$$

**Lemma 6.6** *The generator  $S$  and its “one-click” rotation  $\rho^{1/2}(S)$  have the following moments:*

$$\begin{aligned} \text{tr}_0(S^2) &= [9], \\ \text{tr}_0(S^3) &= 0, \\ \text{tr}_0(S^4) &= [9], \\ \text{tr}_0(\rho^{1/2}(S)^3) &= i \frac{[18]}{\sqrt{[8][10]}}. \end{aligned}$$

Note that the scalars on the right sides of these equations actually refer to scalar multiples of the empty diagram in  $GPA(\mathcal{H}_1^p)_{0,\pm}$ . In particular, each of them is a constant function on the even (or odd in the last case) vertices of the graph  $\mathcal{H}_1^p$ .

**Proof of Lemma 6.5.** Self-adjointness follows immediately from Equation (6.3).

To show  $\rho(S(\gamma)) = -S(\gamma)$ , first note that  $\sigma(\gamma)$  and  $\prod_{i=1}^{16} \frac{1}{\sqrt{d_{\gamma_i}}}$  are independent of the order of vertices appearing in  $\gamma = \gamma_1 \cdots \gamma_{16} \gamma_1$ . Thus, recalling Example 1 from §2.2,

$$\begin{aligned} \rho(S)(\gamma) &= \sqrt{\frac{d_{\gamma_3} d_{\gamma_{11}}}{d_{\gamma_9} d_{\gamma_1}}} S(\gamma_3 \cdots \gamma_{16} \gamma_1 \gamma_2 \gamma_3) \\ &= \sqrt{\frac{d_{\gamma_3} d_{\gamma_{11}}}{d_{\gamma_9} d_{\gamma_1}}} C \sigma(\gamma) p_{\gamma_3 \cdots \widehat{\gamma_{16} \gamma_1} \gamma_2 \gamma_3} \frac{1}{\sqrt{d_{\gamma_{11}} d_{\gamma_3}}} \prod_{i=1}^{16} \frac{1}{\sqrt{d_{\gamma_i}}} \\ &= C \sigma(\gamma) (-p_{\hat{\gamma}}) \frac{1}{\sqrt{d_{\gamma_9} d_{\gamma_1}}} \prod_{i=1}^{16} \frac{1}{\sqrt{d_{\gamma_i}}} \\ &= -S(\gamma) \end{aligned}$$

where we used Equation (6.2) in the second to last step.

Next consider  $\epsilon_i(S)$ , the result of attaching a cap on strands  $i$  and  $i+1$ . Since we know  $S$  is a rotational eigenvector, we only need to check  $\epsilon_1(S) = \epsilon_2(S) = 0$ . In particular, we don’t need to explicitly treat the more complicated cases of  $\epsilon_8(S)$  and  $\epsilon_{16}(S)$ , in which the cap is attached “around the side” of  $S$ , and the coefficients coming from critical points in the graph planar algebra are more complicated.

Let  $\Gamma_k$  be the set of length- $k$  loops on  $\mathcal{H}_1^p$ , and  $\gamma_i$  denote the  $i^{\text{th}}$  vertex of  $\gamma \in \Gamma_k$ . The graph planar algebra formalism tells us that for  $\varphi \in \Gamma_{14}$ ,

$$\epsilon_i(S)(\varphi) = \sum_{\substack{\gamma \in \Gamma_{16} \text{ with} \\ \gamma_{j \leq i} = \varphi_j, \gamma_{i+2} = \varphi_i \\ \text{and } \gamma_{j \geq i+3} = \varphi_{j-2}}} \sqrt{\frac{d_{\gamma_{i+1}}}{d_{\gamma_i}}} S(\gamma)$$

We consider three cases, depending on whether the valence of  $\varphi_i$  is 1, 2 or 3.

If  $\varphi_i$  has valence 1, that is, it is an endpoint, then there is just one term in the sum: if  $\gamma_i = v_0$ , then  $\gamma_{i+1} = w_0$ , and if  $\gamma_i = z_j$ , then  $\gamma_{i+1} = a_j$ . In the first case, the collapsed loop  $\hat{\gamma}$  must be 00000000, so  $S(\gamma) = 0$  by Equation (6.4). In the second case, if  $\gamma_i = z_1$  then  $\hat{\gamma}$  must contain at least 4 consecutive 1s, so  $S(\gamma) = 0$  by Equation (6.5). If  $\gamma_i = z_2$ , then  $\hat{\gamma}$  must contain at least 4 consecutive 2s, so  $S(\gamma) = 0$  by Lemma 6.3.

If  $\varphi_i$  has valence 2, that is, it lies on one of the arms, then there are two terms in the sum, say  $\gamma^+$  and  $\gamma^-$ . Moreover, the collapsed loops for the two terms are the same, and  $\sigma(\gamma^+) = -\sigma(\gamma^-)$ . Thus

$$\begin{aligned} \epsilon_i(S)(\varphi) &= Cp_{\hat{\gamma}^\pm} \frac{1}{\sqrt{d_{\gamma_1^\pm} d_{\gamma_9^\pm}}} \sqrt{\prod_{j=1}^{14} \frac{1}{d_{\varphi_j}}} \times \\ &\quad \left( \sigma(\gamma^+) \frac{1}{\sqrt{d_{\gamma_{i+1}^+} d_{\gamma_{i+2}^+}}} \sqrt{\frac{d_{\gamma_{i+1}^+}}{d_{\gamma_i^+}}} + \sigma(\gamma^-) \frac{1}{\sqrt{d_{\gamma_{i+1}^-} d_{\gamma_{i+2}^-}}} \sqrt{\frac{d_{\gamma_{i+1}^-}}{d_{\gamma_i^-}}} \right) \\ &= Cp_{\hat{\gamma}^\pm} \frac{1}{\sqrt{d_{\gamma_1^\pm} d_{\gamma_9^\pm}}} \sqrt{\prod_{j=1}^{14} \frac{1}{d_{\varphi_j}}} \left( \frac{\sigma(\gamma^+)}{\sqrt{d_{\gamma_i^+} d_{\gamma_{i+2}^+}}} + \frac{\sigma(\gamma^-)}{\sqrt{d_{\gamma_i^-} d_{\gamma_{i+2}^-}}} \right) = 0. \end{aligned}$$

Since  $\gamma_i^+ = \gamma_i^- = \gamma_{i+2}^+ = \gamma_{i+2}^- = \varphi_i$ , the two terms in the parentheses cancel exactly.

Finally, if  $\varphi_i$  has valence 3, then it must be the triple point,  $c$ . There are then three terms, say  $\gamma^0$ ,  $\gamma^1$  and  $\gamma^2$ , with  $\gamma_{i+1}^j = b_j$ . Now the collapsed paths differ;  $\hat{\gamma}^j = w_1 j w_2$  for some fixed words  $w_1$  and  $w_2$ . On the other hand, the signs  $\sigma(\gamma^j)$  are all equal. Thus we obtain

$$\epsilon_i(S)(\varphi) = C\sigma(\gamma^j) \frac{1}{\sqrt{d_{\gamma_1^j} d_{\gamma_9^j}}} \sqrt{\prod_{j=1}^{14} \frac{1}{d_{\varphi_j}}} \left( \frac{p_{\hat{\gamma}^0}}{\sqrt{d_{\gamma_i^0} d_{\gamma_{i+2}^0}}} + \frac{p_{\hat{\gamma}^1}}{\sqrt{d_{\gamma_i^1} d_{\gamma_{i+2}^1}}} + \frac{p_{\hat{\gamma}^2}}{\sqrt{d_{\gamma_i^2} d_{\gamma_{i+2}^2}}} \right).$$

Since  $\gamma_i^j = \gamma_{i+2}^j = \varphi_i$ , the three terms in the parentheses cancel exactly by Equation (6.6).  $\square$

We now verify the moments of  $S$  are the Haagerup moments.

**Computer-assisted proof of Lemma 6.6.** We first treat the moments of  $S$ , and later describe the changes required to calculate the moments of  $\rho^{1/2}(S)$ .

With multiplication given by the multiplication tangle from Figure 1, the vector space  $GPA(\mathcal{H}_1)_{8,+}$  becomes a finite-dimensional semisimple associative algebra,

which of course must just be a multimatrix algebra. It is easy to see that the simple summands are indexed by pairs of even vertices, and that the minimal idempotents in the summand indexed by  $(s, t)$  are given by symmetric loops of length 16, which go from  $s$  to  $t$  in 8 steps, then return the same way. Since there are 8 even vertices  $(v_0, x_0, z_0, b_0, b_1, b_2, z_1$  and  $z_2)$ , there are 64 simple summands  $\mathcal{A}_{s,t}$ , although four of these ( $\mathcal{A}_{v_0, z_1}$ ,  $\mathcal{A}_{z_1, v_0}$ ,  $\mathcal{A}_{v_0, z_2}$ , and  $\mathcal{A}_{z_2, v_0}$ ) are trivial because  $s$  and  $t$  are more than 8 edges apart. Moreover, the trace tangle from Figure 1 composed with the partition function puts a trace on each of these matrix algebras. We write  $\mathcal{A}_{s,t} = \left( M_{k \times k}, \frac{d_t}{d_s} \right)$  to indicate there are  $k$  paths of length 8 from  $s$  to  $t$ , and that the trace of the identity in  $M_{k \times k}$  is  $\frac{d_t}{d_s} k$ . We find that

$$(GPA(\mathcal{H}_1)_{8,+}, \text{multiplication tangle}) \cong \bigoplus_{\substack{s,t \\ \text{even vertices}}} \mathcal{A}_{s,t}.$$

Now to compute the required moments, we just need to identify the image of  $S$  in this multimatrix algebra, compute the appropriate powers via matrix multiplication, and take weighted traces. It turns out that the necessary calculation, namely taking  $k^{\text{th}}$  powers of the matrices for  $S$ , for  $k = 2, 3$  and  $4$ , is actually computationally difficult! First notice that some of the matrices are quite large, up to  $118 \times 118$ . Worse than this, the entries are quite complicated numbers, involving square roots of dimensions, and so the arithmetic step of simplifying matrix entries after multiplication turns out to be extremely slow. One can presumably do these calculations directly with the help of a computer, using exact arithmetic, but our implementation in Mathematica took more than a day attempting to simplify the matrix entries in  $S^4$  before we stopped it. Instead, we choose a matrix (really, a multimatrix)  $A$  so that all the entries of  $ASA^{-1}$  lie in the number field  $\mathbb{Q}(\lambda)$ ; this matrix certainly has the same moments as  $S$ , but once the computer can do its arithmetic inside a fixed number field, everything happens much faster. In particular, the moments required here take less than an hour to compute, using Mathematica 7 on a 2.4Ghz Intel Core 2 Duo. See the remark following Definition 6.1 for an explanation of why this trick works: We cooked up the matrix  $A$  with the desired property by comparing the usual definition of the graph planar algebra with an alternative definition that produces the corresponding “lopsided” planar algebra.

The matrix  $A$  is defined by

$$(A_{s,t})_{\pi, \epsilon} = \delta_{\pi=\epsilon} \prod_{i=1}^8 \sqrt{d_{\pi_i}} \quad (6.8)$$

recalling that the matrix entries in  $\mathcal{A}_{s,t}$  are indexed by pairs of paths  $\pi, \epsilon$  from  $s$  to  $t$ , so  $\pi = \pi_1 \cdots \pi_9$  and  $\epsilon = \epsilon_1 \cdots \epsilon_9$  with  $\pi_1 = \epsilon_1 = s$  and  $\pi_9 = \epsilon_9 = t$ . Notice that the index in the product ranges from 1 to 8, leaving out the endpoint  $t$ .

**Lemma 6.7** *The entries of  $ASA^{-1}$  lie in  $\mathbb{Q}(\lambda)$ .*

(The proof appears below.)

The second half of the Mathematica notebook referred to above produces the matrices for  $ASA^{-1}$  (these, and the corresponding matrices for  $\rho^{1/2}(S)$  described below,



are also available at <http://tqft.net/EH/matrices> in machine readable form and as a PDF typeset for an enormous sheet of paper) and actually does the moment calculation. Any reader wanting to check the details should look there. Here, we'll just indicate the schematic calculation:

$$\begin{aligned}
\mathrm{tr}(S^2)(s) &= \sum_t \frac{d_t}{d_s} \mathrm{tr}((S_{s,t})^2) \\
&= \sum_t \frac{d_t}{d_s} \mathrm{tr}((A_{s,t} S_{s,t} A_{s,t}^{-1})^2) \\
&\text{approximately 8 minutes later...} \\
&= [9] \\
\mathrm{tr}(S^3)(s) &= \sum_t \frac{d_t}{d_s} \mathrm{tr}((S_{s,t})^3) \\
&= \sum_t \frac{d_t}{d_s} \mathrm{tr}((A_{s,t} S_{s,t} A_{s,t}^{-1})^3) \\
&\text{approximately 16 minutes later...} \\
&= 0 \\
\mathrm{tr}(S^4)(s) &= \sum_t \frac{d_t}{d_s} \mathrm{tr}((S_{s,t})^4) \\
&= \sum_t \frac{d_t}{d_s} \mathrm{tr}((A_{s,t} S_{s,t} A_{s,t}^{-1})^4) \\
&\text{approximately 24 minutes later....} \\
&= [9].
\end{aligned}$$

Note that in each case above we're actually computing 8 potentially different numbers, as  $s$  ranges over the even vertices of the graph.

The moments of  $\rho^{1/2}(S)$  can be calculated by a very similar approach. The other 8-box space  $GPA(\mathcal{H}_1)_{8,-}$  becomes a multimatrix algebra with summands indexed by pairs of odd vertices on the graph  $\mathcal{H}_1$ .

**Lemma 6.8** *The entries of  $A_{s,t} \rho^{1/2}(S)_{s,t} A_{s,t}^{-1}$  lie in  $d \cdot \mathbb{Q}(\lambda)$ .*

(Again, the proof appears below.)

We thus compute

$$\mathrm{tr}(\rho^{1/2}(S)^3) = d^3 \mathrm{tr}((d^{-1} A_{s,t} \rho^{1/2}(S)_{s,t} A_{s,t}^{-1})^3).$$

As before, this is implemented in Mathematica. The calculation takes slightly longer than in the first case. The details can be found in the notebook.  $\square$

**Proof of Lemma 6.7** Let  $\sqcup$  denote concatenation of paths, and  $\bar{\epsilon}$  be the reverse of the path  $\epsilon$ . We readily calculate

$$\begin{aligned}
(A_{s,t} S_{s,t} A_{s,t}^{-1})_{\pi, \epsilon} &= C \sigma_{\pi \sqcup \bar{\epsilon} p_{\pi \sqcup \bar{\epsilon}}} \frac{1}{\sqrt{d_s d_t}} \prod_{i=1}^8 \frac{1}{\sqrt{d_{\pi_i}}} \prod_{i=2}^9 \frac{1}{\sqrt{d_{\epsilon_i}}} \frac{\prod_{i=1}^8 \sqrt{d_{\pi_i}}}{\prod_{i=1}^8 \sqrt{d_{\epsilon_i}}} \\
&= \frac{C \sigma_{\pi \sqcup \bar{\epsilon} p_{\pi \sqcup \bar{\epsilon}}}}{\prod_{i=1}^9 d_{\epsilon_i}}
\end{aligned}$$

Most of the factors in this product are already in  $\mathbb{Q}(\lambda)$ ; the one in question is  $\prod_{i=1}^9 d_{\epsilon_i}$ . All even dimensions are in  $\mathbb{Q}[d^2] = \mathbb{Q}[\lambda^2]$ , and all odd dimensions are in  $d \cdot \mathbb{Q}[\lambda^2]$ . So the product  $\prod_{i=1}^9 d_{\epsilon_i}$ , a product of five even and four odd dimensions, lies in  $d^4 \mathbb{Q}[d^2] \subset \mathbb{Q}(\lambda)$  and

$$(ASA^{-1})_{\gamma, \epsilon} \in \mathbb{Q}(\lambda).$$

□

**Proof of Lemma 6.8** First, we have

$$\begin{aligned} \rho^{1/2}(S)(\gamma_1 \gamma_2 \cdots \gamma_{16} \gamma_1) &= \sqrt{\frac{d_{\gamma_2} d_{\gamma_{10}}}{d_{\gamma_9} d_{\gamma_1}}} S(\gamma_2 \cdots \gamma_{16} \gamma_1 \gamma_2) \\ &= \sqrt{\frac{d_{\gamma_2} d_{\gamma_{10}}}{d_{\gamma_9} d_{\gamma_1}}} C\sigma(\gamma) p_{\gamma_2 \cdots \widehat{\gamma_{16} \gamma_1} \gamma_2} \frac{1}{\sqrt{d_{\gamma_2} d_{\gamma_{10}}}} \prod_{i=1}^{16} \frac{1}{\sqrt{d_{\gamma_i}}} \\ &= C\sigma(\gamma) p_{\gamma_2 \cdots \widehat{\gamma_{16} \gamma_1} \gamma_2} \frac{1}{\sqrt{d_{\gamma_1} d_{\gamma_9}}} \prod_{i=1}^{16} \frac{1}{\sqrt{d_{\gamma_i}}}. \end{aligned}$$

Be careful here: although this looks very similar to the formula in Equation (6.1) for  $S$ , the path  $\gamma$  here starts at an odd vertex.

We now conjugate by a multimatrix  $A$  that has exactly the same formula for its definition as appears in Equation (6.8), except again the paths  $\gamma$  and  $\epsilon$  start and finish at odd vertices. We obtain

$$(A_{s,t} \rho^{1/2}(S)_{s,t} A_{s,t}^{-1})_{\pi, \epsilon} = \frac{r \sigma_{\pi \sqcup \bar{\epsilon}} p_{\epsilon_2 \pi_1 \cdots \widehat{\pi_8 \epsilon_9} \cdots \epsilon_2}}{\prod_{i=1}^9 d_{\epsilon_i}}.$$

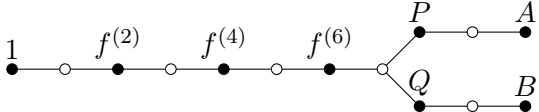
One readily checks that these matrix entries are in  $d \cdot \mathbb{Q}(\lambda)$ . □

We've finally shown the existence and uniqueness of the extended Haagerup subfactor. Uniqueness is Theorem 3.9. By Lemma 6.5 and Lemma 6.6,  $S$  satisfies the hypotheses of Proposition 3.12. Therefore  $\mathcal{PA}(S)$  is a subfactor planar algebra with principal graphs  $\mathcal{H}_1$ .

## A Fusion categories coming from the extended Haagerup subfactor

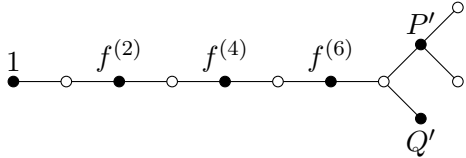
The even parts of a subfactor are the unitary tensor categories of  $N - N$  and  $M - M$  bimodules respectively. Hence every finite depth subfactor yields two unitary fusion categories. In terms of the planar algebra, the simple objects in these categories are the irreducible projections in the box spaces  $P_{2m, \pm}$  for some  $m$ .

In the case of extended Haagerup, the global dimension of each of these fusion categories is the largest real root of  $x^3 - 585x^2 + 8450x - 21125$  (approximately 570.247). The fusion tables are given in Figures 8 and 9.



$\otimes$	$f^{(2)}$	$f^{(4)}$	$f^{(6)}$	$P$	$Q$	$A$	$B$
$f^{(2)}$	$1 + f^{(2)} + f^{(4)}$	$f^{(2)} + f^{(4)} + f^{(6)}$	$f^{(4)} + W$	$A + W$	$B + W$	$P$	$Q$
$f^{(4)}$	$f^{(2)} + f^{(4)} + f^{(6)}$	$1 + f^{(2)} + f^{(4)} + W$	$f^{(2)} + f^{(4)} + A + B + 2W$	$f^{(4)} + B + 2W$	$f^{(4)} + A + 2W$	$f^{(6)} + Q$	$f^{(6)} + P$
$f^{(6)}$	$f^{(4)} + W$	$f^{(2)} + f^{(4)} + A + B + 2W$	$1 + f^{(6)} + P + Q + Z$	$f^{(6)} + Q + Z$	$f^{(6)} + P + Z$	$f^{(4)} + B + W$	$f^{(4)} + A + W$
$P$	$A + W$	$f^{(4)} + B + 2W$	$f^{(6)} + Q + Z$	$1 + P + Z$	$f^{(6)} + Z$	$f^{(2)} + A + W$	$f^{(4)} + W$
$Q$	$B + W$	$f^{(4)} + A + 2W$	$f^{(6)} + P + Z$	$f^{(6)} + Z$	$1 + Q + Z$	$f^{(4)} + W$	$f^{(2)} + B + W$
$A$	$P$	$f^{(6)} + Q$	$f^{(4)} + B + W$	$f^{(2)} + A + W$	$f^{(4)} + W$	$1 + P$	$f^{(6)}$
$B$	$Q$	$f^{(6)} + P$	$f^{(4)} + A + W$	$f^{(4)} + W$	$f^{(2)} + B + W$	$f^{(6)}$	$1 + Q$

Figure 8: The simple objects and fusion rules for the  $N - N$  fusion category coming from the extended Haagerup subfactor. We use the abbreviations  $W = f^{(6)} + P + Q$  and  $Z = A + B + f^{(2)} + 2f^{(4)} + 3f^{(6)} + 3P + 3Q$ .



$\otimes$	$f^{(2)}$	$f^{(4)}$	$f^{(6)}$	$P'$	$Q'$
$f^{(2)}$	$1 + f^{(2)} + f^{(4)}$	$f^{(2)} + f^{(4)} + f^{(6)}$	$f^{(4)} + f^{(6)} + P' + Q'$	$f^{(6)} + 2P' + Q'$	$f^{(6)} + P'$
$f^{(4)}$	$f^{(2)} + f^{(4)} + f^{(6)}$	$1 + f^{(2)} + f^{(4)} + f^{(6)} + P' + Q'$	$f^{(2)} + f^{(4)} + 2f^{(6)} + 3P' + Q'$	$f^{(4)} + 3f^{(6)} + 3P' + 2Q'$	$f^{(4)} + f^{(6)} + 2P' + Q'$
$f^{(6)}$	$f^{(4)} + f^{(6)} + P' + Q'$	$f^{(2)} + f^{(4)} + 2f^{(6)} + 3P' + Q'$	$1 + f^{(2)} + 2f^{(4)} + 4f^{(6)} + 5P' + 3Q'$	$f^{(2)} + 3f^{(4)} + 5f^{(6)} + 6P' + 3Q'$	$f^{(2)} + f^{(4)} + 3f^{(6)} + 3P' + 2Q'$
$P'$	$f^{(6)} + 2P' + Q'$	$f^{(4)} + 3f^{(6)} + 3P' + 2Q'$	$f^{(2)} + 3f^{(4)} + 5f^{(6)} + 6P' + 3Q'$	$1 + 2f^{(2)} + 3f^{(4)} + 6f^{(6)} + 7P' + 4Q'$	$f^{(2)} + 2f^{(4)} + 3f^{(6)} + 4P' + 2Q'$
$Q'$	$f^{(6)} + P'$	$f^{(4)} + f^{(6)} + 2P' + Q'$	$f^{(2)} + f^{(4)} + 3f^{(6)} + 3P' + 2Q'$	$f^{(2)} + 2f^{(4)} + 3f^{(6)} + 4P' + 2Q'$	$1 + f^{(4)} + 2f^{(6)} + 2P' + Q'$

Figure 9: The simple objects and fusion rules for the  $M - M$  fusion category coming from the extended Haagerup subfactor.

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